**Online Consultation Session on the Framework for Evaluating the Effectiveness of the Convention (Article 22): Part I and II**

**Article 22**, paragraph 1 states that the Conference of the Parties shall evaluate the effectiveness of this Convention, beginning no later than six years after the date of entry into force of the Convention and periodically thereafter at intervals to be decided by it. At COP-4.1, the Conference of the Parties agreed to holding intersessional consultations on the framework for evaluating the effectiveness of the Convention, and that the Secretariat would invite written comments on the framework in preparation for further discussions to give full effect to Article 22 at COP-4.2.

The Secretariat will facilitate an online consultation session which takes place in two parts, namely on **Tuesday, 25 January and Thursday, 27 January 2022**, on the written comments received and provide the opportunity for additional comments to be made. This consultation session will also enable clarification on comments and responses, additional questions that may have arisen, and the exchange of other relevant information on the framework to evaluate the effectiveness of the Convention and give full effect to Article 22. Parties may wish to submit further and or additional comments after this session.

- **MC/ES/2021/108 - Executive Communication**
- **UNEP/MC/COP.4/18 - Giving effect to article 22: effectiveness evaluation**
- **UNEP/MC/COP.4/28 - Report of the Conference of the Parties to the Minamata Convention on the work of its fourth meeting**

Following the [online information session](#) of 15 December 2021, submission of written comments were requested by 20 January 2022. The written comments received will be made available on the following [online workspace](#): [https://owncloud.unog.ch/s/2dmsHJXAnpYwH8m](https://owncloud.unog.ch/s/2dmsHJXAnpYwH8m)

**Register now to the two parts**

13H00 - 14H30 CET

**Tuesday, 25 Jan**  
**Thursday, 27 Jan**

Please register for the WebEx sessions using the links above.

Information about [Minamata Online](#)  
Check the [Season 2 calendar](#)

**Resources**

- Monika Stankiewicz  
  Executive Secretary
- Claudia ten Have  
  Senior Policy and Coordination Officer
COP-4.1 agreed to hold intersession consultations on outstanding and remaining matters related to Item 4(i) on Effectiveness Evaluation.

Tuesday, 25 January: Part 1 of the Consultation Session
Thursday, 27 January: Part 2 of the Consultation Session

Present written comments received and provide the opportunity for additional comments to be made. This consultation session will also enable clarification on comments and responses, additional questions that may have arisen, and the exchange of other relevant information on the framework to evaluate the effectiveness of the Convention and give full effect to Article 22. Parties may wish to submit further and or additional comments after the session.

Written Comments received are uploaded on the online workspace.

AIM: COP-4.2 to give effect to Article 22 given the 2023 deadline.
Written Comments Received on the Framework

Comments on Indicators
Plan of Work for the Consultations on the framework to evaluate the effectiveness of the Convention, in preparation for the return to Agenda Item 4(i) on effectiveness evaluation at the resumed fourth meeting

<table>
<thead>
<tr>
<th>Date and Time</th>
<th>Event Description</th>
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<tbody>
<tr>
<td>Wednesday, 15 December 2021 from 13:00 to 14:00 CET</td>
<td><strong>Online Information Session:</strong> The Secretariat will inform on the areas of work to give effect to article 22, the progress made thus far and the remaining areas of work on the effectiveness evaluation framework and related matters. The information session may also serve to allow Parties to share views and perspectives on the framework to evaluate the effectiveness of the Convention additional to the documents, and for the conduct of the agreed consultations among Parties in preparation of the resumed fourth meeting.</td>
</tr>
<tr>
<td>Until Thursday, 20 January 2022</td>
<td><strong>Written Comments Deadline:</strong> Parties are invited to submit written comments on the framework to evaluate the effectiveness of the Convention as soon as possible, and no later than Thursday, 20 January 2022. The same deadline applies to stakeholders who may wish to provide their input.</td>
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<tr>
<td>Tuesday, 25 January and Thursday, 27 January 2022</td>
<td><strong>Online Consultation Session:</strong> The Secretariat will facilitate an online consultation session on the written comments received and provide the opportunity for additional comments to be made. This consultation session will also enable clarification on comments and responses, additional questions that may have arisen, and the exchange of other relevant information on the framework to evaluate the effectiveness of the Convention and give full effect to Article 22. Parties may wish to submit further and or additional comments after this session.</td>
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<tr>
<td>February 2022</td>
<td><strong>Compilation of comments and input received:</strong> The Secretariat compiles the comments and input received in light of the consultations on the framework for evaluating the effectiveness of the Convention, to support further consideration by the Conference of the Parties at the resumed fourth meeting under agenda item 4(i) on effectiveness evaluation.</td>
</tr>
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</table>
Item 4(i): Effectiveness Evaluation

Paragraph 1 of article 22 of the Minamata Convention on Mercury stipulates that the Conference of the Parties is to evaluate the effectiveness of the Convention, beginning no later than six years after the date of entry into force of the Convention and periodically thereafter at intervals to be decided by it.

Since COP-1 (Sept 2017), the Conference of the Parties, the Parties, the ad hoc technical expert group*, and the Secretariat have undertaken work in various areas to give full effect to Article 22 on effectiveness evaluation.

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<tbody>
<tr>
<td>Convention, Article 22</td>
<td>Decision MC-1/9</td>
<td>Decision MC-2/10</td>
<td>Decision MC-3/10</td>
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</table>

The COP is to evaluate the effectiveness of the Convention, beginning no later than six years after the date of the entry into force.

- UNEP/MC/COP.4/CRP.1 – Conference room paper on a framework for the first effectiveness evaluation of the Minamata Convention: Proposal by Norway and Canada
## Areas of work to give effect to Article 22

(see pages 5-8 of the above document for full details)

<table>
<thead>
<tr>
<th>Area</th>
<th>Description</th>
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<tbody>
<tr>
<td>1. First effectiveness evaluation cycle</td>
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<tr>
<td>2. Elements of an effectiveness evaluation framework</td>
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<tr>
<td>3. Monitoring information and arrangements</td>
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<tr>
<td>4. Article 21 national reports as a source of information</td>
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<tr>
<td>5. Available scientific, environmental, technical, financial and economic information</td>
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<tr>
<td>6. Information and recommendations provided by and through the Implementation and Compliance Committee</td>
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<tr>
<td>7. Reports and other relevant information pursuant to articles 13 and 14</td>
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<tr>
<td>8. Periodicity of evaluation of the effectiveness of the Convention</td>
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</table>
Giving effect to Article 22: Additional Material brought to COP-4.1 (based on work presented to COP-3)

- UNEP/MC/COP.4/CRP.1 – Conference room paper on a framework for the first effectiveness evaluation of the Minamata Convention: Proposal by Norway and Canada

“In this Conference Room Paper (CRP), Norway and Canada propose a path forward to establish a framework for the first effectiveness evaluation of the Minamata Convention on Mercury. This CRP presents a draft decision and proposed framework which includes: an effectiveness evaluation structure, process, and timeline; terms of reference for the Effectiveness Evaluation Committee, and; terms of reference for a Scientific Advisory Group on Effectiveness Evaluation.”

Terms of reference for the Effectiveness Evaluation Committee

A. Mandate
1. The Effectiveness Evaluation Committee (hereinafter, the “Committee”) will be responsible for and oversee the development of the effectiveness evaluation (EE) report, as requested by the Conference of the Parties (COP). The Committee will integrate information and knowledge collected and synthesized during the process into a final report to the COP.

Terms of reference for the Scientific Advisory Group on Effectiveness Evaluation (SAGE)

A. Mandate
1. The Scientific Advisory Group on Effectiveness Evaluation (hereinafter, the “SAGE”) will provide the Conference of Parties (COP) with an expert scientific modality and carry out the tasks of identification, compilation and synthesis related to monitoring and analysis of environmental data to support the work of the Effectiveness Evaluation Committee (hereinafter, the “Committee”).
To give effect to Article 22

**Written comments** for the Consultations on the framework to evaluate the effectiveness of the Convention, in preparation for the resumption of Agenda Item 4(i) at COP-4.2 in Bali, Indonesia

In view of the documents prepared for COP-4, the interventions made at COP-4.1 and the consultations agreed on in preparation for COP-4.2, Parties may wish to refer to the following in their preparation of written comments and consideration of giving effect to Article 22:

- UNEP/MC/COP.4/18
- UNEP/MC/COP.4/28
- UNEP/MC/COP.4/CRP.1
General comments expressing **appreciation** for the work done so far and **commitment** to the continued process for the Convention.
"The United States supports a robust, science-based and cost-effective effectiveness evaluation ... that prioritizes data transparency and integrity."

1. First effectiveness evaluation cycle
2. Elements of the effectiveness evaluation framework
3. Monitoring information and arrangements
4. Article 21 national reports as sources of information
5. Available scientific, environmental, technical, financial and economic information
6. Information and recommendations provided by and through the Implementation and Compliance Committee pursuant to article 15
7. Reports and other relevant information pursuant to article 13 and 14
8. Periodicity of evaluation of the effectiveness of the Convention

Annex 1: US Comments to Annex 2 of CRP1
Annex 2: US Comments to Annex 2 of CRP1

(additions in bold, removals in strike-through and comments in italics)
“The Government of Japan considers the protection of human health and the environment from anthropogenic mercury pollution though the implementation of the ... Convention as an issue of high priority. Japan expect the process for the effectiveness evaluation ... is based on scientific knowledge and that it evaluates efforts under the Convention in an appropriate manner. We intent to actively continue to discussions towards the adoption of a decision at [COP-4.2] on effectiveness evaluation so that the first effectiveness evaluation can begin no later than 2023, as required by the Convention.”

**General Comments:**
- Supports an Effectiveness Evaluation Committee and a Scientific Advisory Group on Effectiveness Evaluation
- Important to collect available and latest scientific information to the fullest extent
- Arrangements should be made to promote sharing and utilization of existing monitoring data while taking into account uncertainties

**Specific Comments:**
1. Timeline
2. Transparency and Participation
3. Purpose and operational arrangements of effectiveness evaluation
4. Use of the Emissions and Releases inventory
5. Monitoring guidance
6. Sources of Information
7. Data ownership
“... We share the Minamata Convention's commitment to limiting global mercury emissions and releases, and we believe a robust and complete effectiveness evaluation is essential to achieving such aims.”

“Specifically, we would like to highlight our support for the key elements of the framework, including the proposed reports, process and timeline, as well as the terms of reference of the Effectiveness Evaluation Committee (EEC) and the Scientific Advisory Group on Effectiveness Evaluation (SAGE).”

Notes a proposed approach on indicators is needed. “In our view, there would be benefit in agreeing the list of indicators as early as possible ...”
“The EU and its Member States find that the policy questions ... are good questions.”

CRP.1 Annex 2 – Membership of the **Effectiveness Evaluation Committee**

CRP.1 Annex 3 – Tasks of the **Monitoring and Modelling Group/Scientific Advisory Group**

Noted that a proposal for **periodicity** “is required by the Convention, even if the date of the decision remains open ...”
Purpose of the Consultations:

Present written comments received and provide the opportunity for additional comments to be made. This consultation session will also enable clarification on comments and responses, additional questions that may have arisen, and the exchange of other relevant information on the framework to evaluate the effectiveness of the Convention and give full effect to Article 22. Parties may wish to submit further and or additional comments after the session.

Aim of the Consultations: To allow Parties to exchange and prepare so that COP-4.2 can be in a position to give effect to Article 22 to meet the deadline set by the Convention.
### Calendar View in preparation for COP-4.2

<table>
<thead>
<tr>
<th>November 2021</th>
<th>December 2021</th>
<th>January 2022</th>
<th>February 2022</th>
<th>March 2022</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1-5 Nov:</strong> <strong>COP-4.1</strong> convened online</td>
<td><strong>15 Dec:</strong> Online Information Session to kick-off Consultations on the framework to evaluate the effectiveness of the Convention</td>
<td><strong>20 Jan:</strong> Deadline to submit written comments on the framework to evaluate the effectiveness of the Convention. <strong>Across 25 + 27 Jan:</strong> Online Consultation Session on the written comments received, including to provide the opportunity for additional comments and clarifications to be made.</td>
<td><strong>Feb:</strong> Secretariat compiles the comments and input received in light of the consultations, to support further consideration at COP-4.2 <strong>Regional preparatory meetings</strong> 7 Feb: Asia-Pacific 8 Feb: Africa 9 + 11 Feb: GRULAC 10 Feb: Eastern Europe</td>
<td><strong>21-25 Mar:</strong> <strong>COP-4.2</strong> convenes in-person in Bali, Indonesia. Agenda item 4(i) will be resumed to consider documents prepared for COP-4 on effectiveness evaluation. <strong>The COP may also draw on the Consultations agreed to at COP-4.1.</strong></td>
</tr>
</tbody>
</table>

**At COP-4.1 there was general consensus that it was worthwhile pursuing discussions on issues where consensus had not yet been reached to ensure the deadline for the establishment of the first effectiveness evaluation could be met.**
**Important**

<table>
<thead>
<tr>
<th>Kindly send written comments for the Consultations on the framework to evaluate the effectiveness of the Convention</th>
</tr>
</thead>
<tbody>
<tr>
<td>Email to: <a href="mailto:mea-minamatasecretariat@un.org">mea-minamatasecretariat@un.org</a></td>
</tr>
<tr>
<td>For any queries, kindly contact: <a href="mailto:Claudia.tenHave@un.org">Claudia.tenHave@un.org</a></td>
</tr>
</tbody>
</table>

*Parties are free to provide both general and/or specific comments.*

Comments and reflections on the conduct of the consultations can also be shared with the Secretariat to ensure delivery of best support to Parties' participation and contribution.
Thank you for your participation today!

Secretariat of the Minamata Convention on Mercury

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MAIL: MEA-MinamataSecretariat@un.org
TWITTER: @minamataMEA
#MakeMercuryHistory