Article 15 sets out the Implementation and Compliance Committee. Article 21 sets out the regular reporting obligations of Parties. COP-4.2 is to consider Agenda: Item 4(h) National reporting, and Agenda Item 4(g) Implementation and Compliance Committee.

This deep-dive information session will provide an opportunity to consider the documents prepared for COP-4 and the overall agenda items in more detail. It will further provide an opportunity for questions to be clarified and exchange as Parties see fit to enable the preparation of Parties ahead of the in-person meeting in Bali.

RESOURCES

- 4/16: National reporting
- 4/17: Draft guidance on completing the national reporting format for the Minamata Convention on Mercury
- INF/2/Rev.1: Information provided by the Parties of the Minamata Convention on Mercury

REGISTER NOW: 23 FEBRUARY 2022
13H00-14H00 CET

Please register for the WebEx session using the link above.
Information about Minamata Online Check the Season 2 calendar
<table>
<thead>
<tr>
<th>Time</th>
<th>COP-4.2 Monday, 21 March 2022</th>
<th>Tuesday, 22 March 2022</th>
<th>Wednesday, 23 March 2022</th>
<th>Thursday, 24 March 2022</th>
<th>Friday, 25 March 2022</th>
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<tbody>
<tr>
<td>10:00 - 13:00 Morning Session</td>
<td><strong>Item 1:</strong> Opening of the meeting</td>
<td><strong>Item 1:</strong> Opening of the meeting</td>
<td><strong>Item 3:</strong> Reports of contact groups</td>
<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties</td>
<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties: Consideration of outcomes, adoption of report on credentials and adoption of remaining decisions</td>
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<td><strong>Item 2:</strong> Organizational matters (b) Organization of work (c) Election of Officers for the intersessional period and the fifth meeting of the Conference of the Parties (d) Report on the credentials of representatives to the fourth meeting</td>
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<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties (h) National reporting (i) Implementation and Compliance Committee</td>
<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties (e) Financial resources and mechanism (i) Global Environment Facility (ii) Specific International Programme to Support Capacity-Building and Technical Assistance</td>
<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties: Consideration of outcomes, adoption of report on credentials and adoption of remaining decisions</td>
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<td><strong>Item 3:</strong> Rules of procedure for the Conference of the Parties: consideration of rule 45</td>
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<td><strong>Item 5:</strong> Programme of work and budget</td>
<td><strong>Item 6:</strong> Programme of work and budget</td>
<td><strong>Item 6:</strong> Programme of work and budget</td>
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<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties (k) Financial Rules</td>
<td></td>
<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties (e) Financial resources and mechanism</td>
<td></td>
<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties: Consideration of outcomes, adoption of report on credentials and adoption of remaining decisions</td>
</tr>
<tr>
<td></td>
<td><strong>Item 4:</strong> Matters for consideration or action by the Conference of the Parties: (a) Mercury-added products and manufacturing processes in which mercury or mercury compounds are used: (i) Review of annexes A and B, (ii) Information on dental amalgam (iv) Proposals for amendments to annexes A and R</td>
<td></td>
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<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties: Consideration of outcomes, adoption of report on credentials and adoption of remaining decisions</td>
</tr>
<tr>
<td>15:00-18:00 Afternoon Session</td>
<td><strong>Item 4:</strong> Matters for consideration or action by the Conference of the Parties: (i) Effectiveness evaluation</td>
<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties (a) (iii) Customs codes (b) Artisanal and small-scale gold mining (c) Releases of mercury (d) Mercury waste: consideration of the relevant thresholds</td>
<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties: Consideration of outcomes, adoption of report on credentials and adoption of remaining decisions</td>
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<td><strong>Item 9:</strong> Adoption of the report of the meeting</td>
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<td><strong>Item 4:</strong> Matters for consideration and/or action by the Conference of the Parties: Consideration of outcomes, adoption of report on credentials and adoption of remaining decisions</td>
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<td><strong>Item 10:</strong> Closure of the meeting</td>
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<td><strong>Item 6:</strong> Programme of work and budget: (f) Capacity-building and technical assistance and technology transfer (j) Secretariat (I) Gender</td>
<td></td>
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</tr>
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</table>
Implementation Review
Art. 21: Reporting

Each Party shall report to the COP on the measures taken to implement the provisions of the Convention and on the effectiveness of such measures and the possible challenges in meeting the objective of the Convention.

MC-1/8 on the Timing and Format of National Reports:
Consists of Parts A to E
Short reports, every 2 years – 4 questions
Full reports, every 4 years – 43 questions
- 32 questions on control measures
- 11 questions on enabling/supportive context

Implementation Review
Art. 15: Implementation and Compliance Committee

The Committee is a subsidiary body of the COP established to promote implementation of, and review compliance with, all provisions of the Convention.

The Committee examines both individual and systemic issues, and makes recommendations to the COP.

The Committee may consider issues on the basis of:
- Written submissions by Parties
- National reports in accordance with Article 21
- Requests from the COP
COP-4 Matters for Consideration/Action

Implementation Review
Art. 21: Reporting
COP-4 Agenda Item: 4(h)

1. Regular periodic COP Task: COP review of the first short reports submitted by Parties for the 16 August 2017 to 31 December 2018 reporting cycle (submitted by 31 Dec 2019)
   - 4/16: National Reporting
   - INF/2/Rev.1: Information provided by the Parties of the Minamata Convention on Mercury

2. COP-3 Mandate: COP consideration of the draft guidance for completing the national reporting format, requested by Decision MC-3/13
   - 4/17: Draft guidance for completing the national reporting format for the Minamata Convention on Mercury

Implementation Review
Art. 15: Implementation and Compliance Committee
COP-4 Agenda Item: 4(g)

3. Regular periodic COP Task: COP review of the regular periodic report of the Implementation and Compliance Committee (subsidiary body)
   - 4/15/Rev.1: Report on the work of the Implementation and Compliance Committee

In the period between COP-3 and COP-4, the ICC met from 7-8 June 2021, including to consider the first short reports submitted.

Document 4/15/Rev.1 contains the report of the meeting. Appendix sets out the recommendations by the ICC for COP consideration. The recommendations pertain to the ICC’s review of the first short reports submitted by Parties.
Implementation Review Timeline

16 August 2017: Entry into force of the Convention

- 2017: COP-1
- 2018: COP-2
- 2019: COP-3
- 2020: ICC-3, COP-4.1, COP-4.2

First short reports
16 Aug 2017 to 31 Dec 2018
Submit by 31 Dec 2019

First full reports
16 Aug 2017 to 31 Dec 2020

Second short reports (2 years)
1 Jan 2021 to 31 Dec 2022
Submit by 31 Dec 2023

Second full reports (4 years)
1 Jan 2021 to 31 Dec 2024
Submit by 31 Dec 2025

See Document 4/17, on the draft reporting guidance, page 3.
### Overview of the Information Session on National Reporting: Implementation Review and Compliance

<table>
<thead>
<tr>
<th>Task: COP Review of the first short reports.</th>
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<tbody>
<tr>
<td>4/16</td>
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<table>
<thead>
<tr>
<th>Task: COP Consideration of the ICC report and of the ICC recommendations on the first short reports.</th>
</tr>
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<tbody>
<tr>
<td>4/15/Rev.1</td>
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</table>

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<tr>
<th>Reporting obligation and reporting performance</th>
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</thead>
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<tr>
<td>Review of Responses</td>
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<tr>
<td>Q.3.1: Primary mercury mining</td>
</tr>
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<td>Q.3.3: Stocks and sources of mercury</td>
</tr>
<tr>
<td>Q.3.5: Consent and export of mercury</td>
</tr>
<tr>
<td>Q.11.2: Final disposal of mercury</td>
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</tbody>
</table>

INFO STOP

<table>
<thead>
<tr>
<th>Task: COP Consideration of the draft guidance.</th>
</tr>
</thead>
<tbody>
<tr>
<td>As possible first step, consider as relevant to the next short reports that are due by 31 December 2023.</td>
</tr>
<tr>
<td>4/17</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Mandate and Process to develop the draft guidance</th>
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<tbody>
<tr>
<td>Structure of the draft guidance</td>
</tr>
<tr>
<td>INFO STOP</td>
</tr>
</tbody>
</table>

Note: Experiences of the first full reports
1. COP Review of the Short National Reports
4/16: National Reporting

The document provides the Secretariat’s report on the first short reports, the responses to the questions, and feedback received from parties on Parts C, D, and E, as mandated under Article 21 on Reporting.

The document also has the overall comments by the Secretariat on the responses in the first short report.

The document should be read in conjunction with INF/2/Rev.1: Information provided by the parties to the Minamata Convention on Mercury.

The document and INF/2/Rev.1 elaborates on the reporting performance in the first short reports covering the reporting period 16 August 2017 to 31 December 2018.
# Reporting Obligation and Reporting Period

**Reporting Format, as per Decision MC-1/8**

<table>
<thead>
<tr>
<th>Part</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Part A</td>
<td>General information on the party for which the report is being submitted</td>
</tr>
<tr>
<td>Part B</td>
<td>Information on measures taken by the reporting party to implement the relevant provisions and on the effectiveness of such measures in meeting the objective of the Convention</td>
</tr>
<tr>
<td></td>
<td>The 43 questions that relate to the following articles of the Convention:</td>
</tr>
<tr>
<td></td>
<td>- Article 3 (Mercury supply sources and trade)*</td>
</tr>
<tr>
<td></td>
<td>- Article 4 (Mercury-added products)</td>
</tr>
<tr>
<td></td>
<td>- Article 5 (Manufacturing processes in which mercury or mercury compounds are used)</td>
</tr>
<tr>
<td></td>
<td>- Article 7 (Artisanal and small-scale gold mining)</td>
</tr>
<tr>
<td></td>
<td>- Article 8 (Emissions)</td>
</tr>
<tr>
<td></td>
<td>- Article 9 (Releases)</td>
</tr>
<tr>
<td></td>
<td>- Article 10 (Environmentally sound interim storage of mercury, other than waste mercury)</td>
</tr>
<tr>
<td></td>
<td>- Article 11 (Mercury wastes)*</td>
</tr>
<tr>
<td></td>
<td>- Article 12 (Contaminated sites)</td>
</tr>
<tr>
<td></td>
<td>- Article 13 (Financial resources and mechanism)</td>
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<td></td>
<td>- Article 14 (Capacity-building, technical assistance and technology transfer)</td>
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<td></td>
<td>- Article 16 (Health aspects)</td>
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<td></td>
<td>- Article 17 (Information exchange)</td>
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<td>- Article 18 (Public information, awareness and education)</td>
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<td></td>
<td>- Article 19 (Research, development and monitoring)</td>
</tr>
<tr>
<td>Part C</td>
<td>Opportunity to comment on possible challenges in meeting the objective of the Convention</td>
</tr>
<tr>
<td>Part D</td>
<td>Opportunity to comment on the reporting format and possible improvements</td>
</tr>
<tr>
<td>Part E</td>
<td>Opportunity to provide additional comments on each of the articles in free text if the party chooses to do so</td>
</tr>
</tbody>
</table>

**First short reports (questions, see *) reporting period:** EiF until 31 December 2018 (to be submitted 31 December 2019)
## First National Reporting Performance

**as of 30 June 2021**

<table>
<thead>
<tr>
<th>Category</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parties submitted by 30 June 2021</td>
<td>39 Parties</td>
</tr>
<tr>
<td>Parties submitted as of the 31 December 2019 deadline</td>
<td>63 Parties</td>
</tr>
<tr>
<td>Reports to be received</td>
<td>8 reports</td>
</tr>
<tr>
<td>Parties submitted incomplete reports</td>
<td>4 Parties</td>
</tr>
<tr>
<td>Parties submitted reports voluntarily</td>
<td>2 Parties</td>
</tr>
</tbody>
</table>

Parties' **89%** reporting rate is commendable!
4/16: National Reporting

The four questions that form part of the short reports relate to the supply, trade and disposal of mercury, and in particular to article 3 (Mercury supply sources and trade) and article 11 (Mercury wastes) of the Convention:

Question 3.1 (c) on primary mercury mines operating at the time of entry into force of the Convention for the party;

Question 3.3 (a) on individual stocks of mercury or mercury compounds exceeding 50 metric tons and sources of mercury supply generating stocks exceeding 10 metric tons per year;

Question 3.5 on consent and the export of mercury from the party’s territory in the reporting period;

Question 11.2 on facilities for final disposal of waste consisting of mercury and mercury compounds in the Party's territory.
Item 4(h): National Reporting

- **4/16: National reporting**
- **INF/2/Rev.1**: Information provided by the parties to the Minamata Convention on Mercury

**4/16: National Reporting**

Annex II presents the details of the responses to each of the four questions of the first short national reports received for the first reporting period (16 August 2017 to 31 December 2018).
Item 4(h): National Reporting

- **4/16**: National reporting
- **INF/2/Rev.1**: Information provided by the parties to the Minamata Convention on Mercury
4/16: National reporting

See Annex II: Detail of the responses received

Question 3.1 on Primary mercury mines

Question 3.1: Does the party have any primary mercury mines that were operating within its territory at the date of entry into force of the Convention for the party? (Para. 3.)

☐ Yes
☐ No

If yes, please indicate:
(c) “Total amount mined ______ metric tons per year

After reviewing the responses regarding active primary mines, the Secretariat notes that it may not possible to deduce the total amount of mercury mined per year during the reporting period, as a party reported the amount of ore containing mercury that had been mined. The variation in the unit of measurement used in the reports may prevent the Secretariat from providing the Conference of the Parties with analysis of the data on mercury produced from active primary mines. See: COP.4/16, para 16(b) and para 35(a).

<table>
<thead>
<tr>
<th>Country</th>
<th>Total amount mined metric tons per year</th>
</tr>
</thead>
<tbody>
<tr>
<td>China</td>
<td>85,000 metric tons of ore extracted in 2017</td>
</tr>
<tr>
<td>Mexico</td>
<td>95 metric tons of mercury mined in 2017</td>
</tr>
</tbody>
</table>

Additional information supplied or comments made by parties on question 3.1 (c)

Indonesia
Indonesia indicated that it had no mercury mines operating within its territory, but that there were mining sites in the country that could be sources of mercury and that required close supervision in order to be used for illegal mining and other activities.

Mexico
Mexico reported that four mines were active and that they had been authorized before the entry into force of the Convention for the country. The mining concessions were granted until the end of 2020.
Item 4(h): National Reporting

4/16: National reporting

See Annex II: Detail of the responses received

Question 3.3 on Stocks and Sources

Question 3.3: Has the party endeavoured to identify individual stocks of mercury or mercury compounds exceeding 50 metric tons and sources of mercury supply generating stocks exceeding 10 metric tons per year that are located within its territory? (para. 5)

☐ Yes
☐ No

(a) If the party answered yes to question 3.3 above:
   (i) Please attach the results of your endeavour or indicate where it is available on the internet, unless unchanged from a previous reporting round.
   (ii) Supplemental: Please provide any related information – for example, on the use or disposal of mercury from such stocks and sources.

The Secretariat has some comments. See COP.4/16 para 20 (a) to (f), para 36.

Although 45 Parties reported that they had endeavoured to identify. It is not clear what the status of the remainder of the endeavours are. Are they ongoing and the party has yet to report on the results? Did the endeavours conclude without any results? Or did the endeavour result in finding no stocks and sources exceeding the respective thresholds within Parties’ territories? See: COP.4/16, para 20(a), para 35(b) and para 36.

It is to be noted that, on the basis of some of the responses, there may be uncertainty among Parties as to whether the endeavour to identify stocks and sources is a one-time obligation, a continuing commitment or a time-bound commitment. See: COP.4/16, para 20(e) para 35(b) and para 36.

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<table>
<thead>
<tr>
<th>Responses to question 3.3</th>
<th>45 parties responded “yes”</th>
<th>57 parties responded “no”</th>
</tr>
</thead>
<tbody>
<tr>
<td>Armenia, Austria, Belgium, Botswana, Brazil, Canada, Chad, Chile, China (excluding Hong Kong Special Administrative Region and Macao Special Administrative Region), Colombia, Costa Rica, Czechia, Denmark, Ecuador, El Salvador, European Union, Finland, France, Germany, Honduras, Hungary, Iceland, India, Indonesia, Iran (Islamic Republic of), Ireland, Japan, Kuwait, Liechtenstein, Montenegro, Netherlands, Nicaragua, Norway, Peru, Rwanda, Saint Lucia, Singapore, Slovakia, South Africa, Sweden, Switzerland, Thailand, United Arab Emirates, United Kingdom, United States</td>
<td>Armenia, Benin, Bolivia (Plurinational State of), Bulgaria, Burundi, Cambodia, Cameroon, Congo, Costa Rica, Croatia, Djibouti, Dominican Republic, Estonia, Gabon, Ghana, Guinea, Guinea-Bissau, Guyana, Jamaica, Jordan, Latvia, Lebanon, Lesotho, Lithuania, Luxembourg, Madagascar, Mali, Mauritania, Mauritius, Mexico, Monaco, Mongolia, Morocco, Niger, Nigeria, Panama, Paraguay, Portugal, Republic of Moldova, Romania, Russian Federation, Saint Kitts and Nevis, Samoa, Sao Tome and Principe, Saudi Arabia, Senegal, Seychelles, Sierra Leone, Slovenia, Sri Lanka, Suriname, Syrian Arab Republic, Tonga, Turkey, Uganda, Uruguay, Vanuatu, Viet Nam, Zambia</td>
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</table>

Regarding question 3.3 (a) (i), of the 45 parties that responded “yes”, 33 parties attached the results of their endeavour or indicated where it was available on the Internet.

Regarding question 3.3 (a) (i), for the 45 parties that responded “yes”, 5 parties did not submit any information on the results of their endeavour.

Argentina, Austria, Brazil, Canada, Chad, China (Macao Special Administrative Region), Costa Rica, Denmark, Ecuador, El Salvador, European Union, Finland, France, Germany, Honduras, Iceland, India, Indonesia, Ireland, Japan, Kuwait, Liechtenstein, Montenegro, Netherlands, Norway, Peru, Slovenia, Saint Lucia, Sweden, Switzerland, Thailand, United States

Botswana, Croatia, France, United Arab Emirates, United Kingdom

Regarding question 3.3 (a) (i), of the 45 parties that responded “yes”, 8 parties attached other information such as laws or updates on their endeavour.

China, Colombia, Hungary, Iran (Islamic Republic of), Nicaragua, Rwanda, Singapore and South Africa

Regarding question 3.3 (a) (i), of the 45 parties that responded “yes”, 8 parties attached other information such as laws or updates on their endeavour.
Item 4(h): National Reporting

4/16: National reporting

Question 3.5 on Consent and Exports of Mercury

<table>
<thead>
<tr>
<th>Responses to question 3.5</th>
<th>Five parties responded “yes, exports to non-parties”</th>
</tr>
</thead>
<tbody>
<tr>
<td>Japan, Mexico, Peru, Bolivia (Plurinational State of), Botswana, Brazil, Switzerland and Thailand</td>
<td>Japan, Mexico, Singapore, Switzerland and Thailand</td>
</tr>
</tbody>
</table>

34 parties responded “yes”
- Argentina, Armenia, Austria, Belgium, Benin, Bolivia (Plurinational State of), Botswana, Brazil, Bulgaria, Burkina Faso, Canada, Chad, Chile, China (including Hong Kong Special Administrative Region and Macao Special Administrative Region), Colombia, Comoros, Congo, Costa Rica, Côte d’Ivoire, Croatia, Czechia, Denmark, Djibouti, Dominican Republic, Ecuador, El Salvador, Estonia, European Union, Finland, France, Gabon, Georgia, Germany, Guinea, Guinea-Bissau, Oman, Honduras, Hungary, Iceland, India, Indonesia, Iran (Islamic Republic of), Ireland, Jamaica, Jordan, Kazakhstan, Latvia, Lebanon, Lesotho, Liechtenstein, Lithuania, Luxembourg, Madagascar, Mali, Mauritania, Mauritius, Monaco, Mongolia, Montenegro, Namibia, Netherlands, Nicaragua, Niger, Nigeria, Norway, Panama, Paraguay, Portugal, Russian Federation, Romania, Rwanda, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Serbia and Montenegro, Seychelles, Sierra Leone, Slovenia, Sri Lanka, Sudan, Sweden, Syrian Arab Republic, Tonga, United Arab Emirates, United Kingdom, United States, Uruguay, Vanuatu, Viet Nam, Zambia

- The Secretariat has some comments. See COP.4/16 para 24 (a) to (i), paras 37-38.
- For Q.3.5 there ought be a possibility to answer “not applicable” to describe a situation where there is no export from that Party. See COP.4/16 para 35 (c).
- While three Parties, in separate documents, submitted supplementary information on the consent received, the information provided in the documents is not equivalent to that in forms A and B. It is therefore not clear to the Secretariat whether the information provided satisfies the relevant requirements of paragraph 6 of article 3. See COP.4/16 para 25.
Item 4(h): National Reporting

4/16: National reporting

See Annex II: Detail of the responses received

Question 11.2 on Final Disposal of waste

Question 11.2: *Are there facilities for final disposal of waste consisting of mercury or mercury compounds in the party’s territory?

☐ Yes
☐ No
☐ Do not know (please explain)

If yes, if the information is available, how much waste consisting of mercury or mercury compounds has been subjected to final disposal under the reporting period? Please specify the method of the final disposal operation/operations.

- The Secretariat has some comments. See COP.4/16 para 28 (a) to (c), and para 29.
- Question 11.2 relates only to facilities for the final disposal of waste consisting of mercury or mercury compounds, yet several responses referred to waste containing mercury or mercury compounds or contaminated with mercury or mercury compounds.
- From the answers provided, it cannot be said with certainty that all the Parties that responded “yes” did in fact have facilities for final disposal of waste consisting of mercury or mercury compounds in their territory.
- The term “final disposal” was interpreted in various ways by Parties.

Additional information supplied, or comments made by parties with regard to question 11.2:

- Peru: Peru noted the importance of adopting a mechanism to encourage the development of technology to stabilize mercury and for its final disposal, as Peru did not yet have a facility for the definitive disposal of mercury, requiring it to export the mercury.
The Secretariat observed that the wording of some questions resulted in a lack of clarity in the responses. As a consequence, possibilities for longer-term tracking and meaningful analysis of the responses may be limited.

It would be desirable for these issues to be addressed by the COP. Issues for clarification include:

a) Question 3.1, the basis for reporting the “total amount” and whether it is total amount of mercury obtained or the total amount of ore containing mercury that is extracted;

b) Question 3.3, whether the obligation to endeavour to identify stocks and sources as set out in paragraph 5 of article 3 is a one-time effort or an ongoing effort that should also be reported in future reporting cycles;

c) Question 3.5, to give Parties the possibility to indicate in the format that they did not export mercury;

d) Question 11.2, the need for greater clarity regarding the term “final disposal”.

These areas also came up during ICC deliberations for possible need of COP clarification.
Item 4(h): See Annex I: Draft Decision: National Reporting pursuant to Article 21

Annex I

Draft decision MC-4/[--]: National reporting pursuant to article 21 of the Minamata Convention on Mercury

The Conference of the Parties,

Welcoming the high rate of submission, the timeliness and the completeness of the short reports prepared by parties for the first reporting period,

Noting the efforts by the secretariat to support parties in fulfilling their obligation to report, including through use of the online reporting platform,

Noting also the many Minamata initial assessments that have been completed and provided to the secretariat for upload to the website,

Recognising the importance of clarity in the information transmitted in national reports,

1. Encourages parties again to achieve a high rate of reporting for the next reporting period;

2. Provides clarification of the reporting format, as set out in the appendix to the present decision, and requests the secretariat to reflect that clarification in the reporting format and on the online reporting platform;

3. Requests parties to continue their ongoing efforts to endeavour to identify individual stocks and sources of mercury in accordance with paragraph 5 of article 3 of the Convention;

4. Recalls the obligation of parties that have received consent to export mercury to parties and/or non-parties to submit copies of the consent forms to the secretariat or to provide other suitable information showing that the relevant requirements of paragraph 6 of article 3 of the Convention have been met;

5. Encourages parties that are developing Minamata initial assessments to complete them as soon as possible in order that they may be of support to implementation measures and national reporting efforts;

6. Requests the secretariat:

(a) On the basis of parties’ experience of completing the first full reports, which are due by 31 December 2021, to identify any questions in the reporting format that may pose challenges to parties in responding and to propose to the Conference of the Parties at its fifth meeting related clarifications, as appropriate;

(b) To report to the Conference of the Parties at its fifth meeting on the implementation of the present decision.

Appendix

4/16: National reporting

Question 3.1: Does the party have any primary mercury mines that were operating within its territory at the date of entry into force of the Convention for the party? (Para. 3.)

- Yes
- No

If yes, please indicate:

- Total amount mined [ ] metric tons per year

Question 3.5: Has the party received consent, or relied on a general notification of consent, in accordance with article 3, including any required certification from importing non-parties, for all exports of mercury from the party’s territory in the reporting period? (Pera. 6, para. 7)

- Yes, exports to parties
- Yes, exports to non-parties
- No
- If yes...

Question 11.2: In the absence of a definition of “final disposal” under the Minamata Convention, parties may wish to refer to the definition provided in the technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with mercury or mercury compounds under the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal.
Info Stop on National Reporting

- **4/16**: National reporting

- **INF/2/Rev.1**: Information provided by the parties to the Minamata Convention on Mercury

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**Short reports for 16 August 2017 to 31 December 2018 (that were due December 2019)**

- The COP is to consider the **short reports** submitted by Parties in December 2019 (see document 4/16) and may wish to address the four issues for **clarification** set out in the Secretariat report in paragraph 35. (Also with reference to document 4/17 on the draft guidance)

- **4/16 Annex I: Draft Decision**
  - Commends Parties for the high reporting rate
  - Encourages Parties to again achieve high rate
  - Clarification of the reporting format (see appendix of the draft decision), and other identified items for COP consideration
2. COP Consideration of ICC report and its recommendations vis-à-vis the short reports
**Item 4(g): ICC**


**4/15 Report on the Work of the Implementation and Compliance Committee**

The **ICC met online** (ICC-3, 7-8 June 2021), see report in Annex to 4/15/Rev.1.

- **First Short Reports**
  The ICC for the **first time examined issues** related to the implementation of, and compliance with provisions of the Convention, **based on the short national reports** submitted by Parties in accordance with article 21 of the Convention.

  ICC commended that **97 out of 114 parties** had submitted their reports (85% reporting rate) at the time. (Note: by 30 June 2021, the reporting rate was 89%)

  The Secretariat presented to the Committee its **findings on the responses** submitted by Parties for the short reports.

  Based on the report of the Secretariat and the Committee's deliberations, the Committee agreed on **recommendations to COP-4 on national reporting**.

- **Work programme of the ICC**
  The ICC also considered issues related to its **work programme** between COP-4 and COP-5, and the venue and date of **ICC-4** (online meeting in July 2022) and **ICC-5** (2-day in-person meeting in March 2023).
Item 4(g): ICC


While the reporting rate was very high, the ICC noted that the following factors may have contributed to some challenges on reporting:

a) Parties might have interpreted reporting requirements in varying ways;
b) Parties were still in the process of putting measures in place and progress was underway/ongoing at the time of reporting;
c) Some documentation did not reach the Secretariat (One item: how to address Parties' possible concern regarding commercial-in-confidence information);
d) This was the first experience of reporting on Minamata obligations.

Recommendations for the consideration of the Conference of the Parties at its fourth meeting

The Committee recommends that the Conference of the Parties at its fourth meeting decide to, inter alia:
(a) Welcome the high reporting rate, timeliness and completeness of national reports transmitted for the first reporting period;
(b) Remind all parties of the importance of meeting their reporting obligations in accordance with article 21 of the Minamata Convention;
(c) Express its appreciation to the parties that submitted their reports;
(d) Consider the factors that may have contributed to reporting challenges identified by the Implementation and Compliance Committee in its report to the Conference of the Parties at its fourth meeting and consider further action, as appropriate;
(e) Request the secretariat, with respect to matters relating to reporting on the export of mercury, to propose potential ways for parties to provide the secretariat with information while excising information considered commercial-in-confidence;
(f) Also request the secretariat to continue to support parties in national reporting, including through training.
Info Stop on ICC


Implementation and Compliance Committee

- The COP is to consider the regular report of the ICC (a subsidiary body of the COP).
- In this intersessional period, and as noted in the report, the ICC considered the first short reports submitted and made recommendations based on the Secretariat’s report to the ICC and the deliberations of the Committee. See document 4/15/Rev.1, paras 14-38 for the Committee’s deliberations, and the appendix of the report for the recommendations of the ICC.

CCI Recommendations

- Welcome the high reporting rate
- Remind Parties of the importance of their reporting obligation
- Consider the factors that may have contributed to some challenges on reporting
- Request the Secretariat to propose potential ways forward on documentation for Q.3.5.
3. COP Consideration of the draft guidance on national reporting
4/17 Draft guidance for completing the national reporting format for the Minamata Convention on Mercury

Decision MC-3/13, on guidance for completing the national reporting format, recognized the need for complete and consistent national reporting to provide information for the effectiveness evaluation and for supporting compliance, and requested the Secretariat to draft guidance in this regard.

The draft of the guidance was circulated on 20 May 2021 for commenting by Parties and others by 28 June 2021. Eighteen Parties took advantage of the opportunity to comment.

The draft guidance has also been used by many Parties to complete full reports for 31 December 2021.
**Item 4(h): National Reporting**

- **4/17: Draft guidance on completing the national reporting format for the Minamata Convention on Mercury**

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<th>Structure of the Draft Guidance, see Annex of 4/17</th>
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4/17 Draft guidance for completing the national reporting format for the Minamata Convention on Mercury

Relevant to the next short reports, which are due before the fifth meeting of the Conference of the Parties, COP4.2 may wish to consider issues raised during the comment and review period for the draft, namely (see para 9):

**Question 3.1 (c)** – Whether the “Total amount mined _______ metric tons per year” refers to the total amount of mercury metal produced by primary mining or the total amount of mercury-containing ore that was excavated;

**Question 3.3** – Whether the obligation is ongoing or “one-off”;

**Question 3.5** – The significance of a “no” response is not clear, as a party does not have the option to report that it did not export mercury.
Draft guidance for national reporting

- The COP may wish to consider **clarification** of the issues laid out in para 9 of document 4/17 as relevant to the next short reports due before COP-5 (i.e. by December 2023).

- The COP also may wish to consider the way forward on the draft guidance, including to possibly revisit it in light of the experiences of the full reports submitted December 2021.

*Note: Suggested action in document 4/17 includes in para 11 that the COP may wish to adopt the draft guidance.*
Update on full reports received
First Full National Reports: Update

First full national reports - Reporting Rate (22/02/2022)

73% Submitted

First full national reports by region (22/02/2022)

- Africa
- Asia Pacific
- Eastern European States
- Latin America and the Caribbean
- Western European and Others Group

Submitted • In progress • Not started
In the run-up to the fourth meeting of the Conference of the Parties to the Minamata Convention on Mercury (COP-4), that will convene in two segments — COP-4.1 online and COP-4.2 in-person —, the second season of Minamata Online provides an opportunity to better understand the policy and scientific aspects of the Convention.

Season 2 also responds to the valuable feedback from the over 1,700 participants that took part in last year’s presentations, Q&A sessions and panel discussions. Join us again; registration available at the Minamata Convention website. http://www.mercuryconvention.org

All schedules are indicated in Geneva time
Last update: 31 January 2021
Thank you for your attention

Secretariat of the Minamata Convention on Mercury
United Nations Environment Programme

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#MakeMercuryHistory