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United Nations Environment Programme

Conference of the Parties to the Minamata Convention on Mercury First meeting Geneva, 24–29 September 2017 Item 5 (d) of the provisional agenda*

Programme of work of the secretariat and budget for the period 2018–2019

Available information that might assist the committee's work

Note by the secretariat

- 1. In its decision 25/5 on chemicals management, including mercury, the Governing Council of the United Nations Environment Programme (UNEP) requested the Executive Director of UNEP to convene an intergovernmental negotiating committee with the mandate to prepare a global legally binding instrument on mercury. At its fifth session, the intergovernmental negotiating committee agreed on the text of a global legally binding instrument, the Minamata Convention on Mercury, which was adopted and opened for signature at the Conference of Plenipotentiaries held in Kumamoto, Japan, on 10 and 11 October 2013. In paragraph 3 of its resolution on arrangements in the interim period (UNEP(DTIE)/Hg/CONF/4, annex I), the Conference of Plenipotentiaries invited the Executive Director of UNEP to convene such further meetings of the intergovernmental negotiating committee during the period between the date on which the Convention was opened for signature and the date of the opening of the first meeting of the Conference of the Parties to the Convention as may be necessary to facilitate the rapid entry into force of the Convention and its effective implementation upon its entry into force.
- 2. The first meeting of the Conference of the Parties to the Minamata Convention on Mercury will have before it a number of working and information documents prepared at the request of the intergovernmental negotiating committee at its previous sessions. The secretariat is also making available to the Parties a number of background documents prepared in response to previous decisions of the Governing Council and requests by the ad hoc open-ended working group to prepare for the first Conference of the Parties on mercury and by the earlier Ad Hoc Open-ended Working Group on Mercury. The background documents include reports, toolkits and guidance documents on topics relevant to the provisions of the Minamata Convention.
- 3. With a view to assisting Governments in their preparations for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury, the documents for the session are listed in tables 1 and 2 below (see annex). Table 1 lists general reference documents and table 2 identifies other working, information and background documents that relate more specifically to individual articles of the Minamata Convention. Each background document is identified by a capital letter which matches that used to identify the document as it appears in the appendix to the annex. A brief description of each background document listed is also provided in the appendix.

^{*} UNEP/MC/COP.1/1.

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4. The present note and the annex thereto update and expand the information contained in documents UNEP(DTIE)/Hg/INC.1/INF/6, UNEP(DTIE)/Hg/INC.2/INF/4, UNEP(DTIE)/Hg/INC.3/INF/2, UNEP(DTIE)/Hg/INC.4/INF/2, UNEP(DTIE)/Hg/INC.5/INF/2 and UNEP(DTIE)/Hg/INC.6/INF/1, UNEP(DTIE)/Hg/INC.7/INF/2, which were made available to the committee at its first, second, third, fourth, fifth, sixth, and seventh sessions, respectively. The annex is presented without formal editing.

Annex

 $\begin{tabular}{ll} Table 1 \\ Working documents for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury \\ \end{tabular}$

Symbol	Title
UNEP(MC)/COP.1/1	Provisional agenda
UNEP(MC)/COP.1/1/Add.1	Annotations to the provisional agenda
UNEP(MC)/COP.1/2	Scenario note for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury
UNEP(MC)/COP.1/3	Draft rules of procedure for the Conference of the Parties to the Minamata Convention on Mercury
UNEP(MC)/COP.1/4	Achievements of the intergovernmental negotiating committee to prepare a global legally binding instrument on mercury
UNEP(MC)/COP.1/5	Guidance in relation to mercury supply sources and trade (article 3), particularly in regard to identification of stocks and sources of supply (paragraph 5 (a)) and forms and guidance for obtaining consent to import mercury (paragraphs 6 and 8)
UNEP(MC)/COP.1/6	Required content of the certification required for the import of mercury from non-parties in accordance with paragraphs 6 (b) and 8 of article 3
UNEP(MC)/COP.1/7	Guidance in relation to mercury emissions (article 8) referred to in paragraphs 8 (a) and 8 (b)
UNEP(MC)/COP.1/8	Guidance to the Global Environment Facility on overall strategies, policies, programme priorities, eligibility for access to and utilization of financial resources and on an indicative list of categories of activities that could receive support from the Global Environment Facility Trust Fund
UNEP(MC)/COP.1/9	Specific international programme to support capacity-building and technical assistance
UNEP(MC)/COP.1/9/Add.1	Location options within the United Nations Environment Programme, governance arrangements and duration of the specific international programme to support capacity-building and technical assistance
UNEP(MC)/COP.1/10	Membership of the Implementation and Compliance Committee as referred to in paragraph 3 of article 15
UNEP(MC)/COP.1/11/	Timing and format of the reporting to be followed by the parties, as set out in paragraph 3 of article 21
UNEP(MC)/COP.1/12	Establishment of arrangements in regard to effectiveness evaluation as referred to in paragraph 2 of article 22
UNEP(MC)/COP.1/13	Draft financial rules for the Conference of the Parties to the Minamata Convention on Mercury and any of its subsidiary bodies, as well as financial provisions governing the functioning of the secretariat of the Convention
UNEP(MC)/COP.1/14	Revised report on proposals on how the Executive Director of the United Nations Environment Programme would perform the functions of the permanent secretariat for the Minamata Convention on Mercury
UNEP(MC)/COP.1/15	Draft memorandum of understanding between the Conference of Parties to the Minamata Convention on Mercury and the Council of the Global Environment Facility
UNEP(MC)/COP.1/16	Formats to be used in registering an exemption from the phase out dates listed in Annex A and Annex B, including the information to be provided upon registering for an exemption, and for the register of exemptions
UNEP(MC)/COP.1/17	Guidance document on the preparation of national action plans for artisanal and small-scale gold mining
UNEP(MC)/COP.1/18/Rev.1	Physical location of the permanent secretariat of the Minamata Convention on Mercury
UNEP(MC)/COP.1/19	Consideration of the report on mercury emissions related to then open burning of waste

UNEP/MC/COP.1/INF/1

Symbol	Title
UNEP(MC)/COP.1//20	Progress report on the work of the interim secretariat in the period since the seventh session of the intergovernmental negotiating committee to prepare a global legally binding instrument on mercury
UNEP(MC)/COP.1/21	Programme of work of the secretariat and budget for the period 2018–2019
UNEP(MC)/COP.1/21/Add.1	Programme of work of the secretariat and budget for the biennium 2018–2019 Addendum Overview of resources required for proposed activities included in the programme of work of the Minamata Convention on Mercury
UNEP(MC)/COP.1/21/Add.2	Programme of work of the secretariat and budget for the biennium 2018–2019 Addendum Overview of the resources required for staffing the proposed options for the secretariat of the Minamata Convention on Mercury and projected salary costs for the proposals on how the Executive Director would perform the functions of the secretariat
UNEP(MC)/COP.1/21/Add.3	Programme of work of the secretariat and budget for the biennium 2018–2019 Addendum Assessed contributions
UNEP(MC)/COP.1/21/Add.4	Programme of work of the secretariat and budget for the biennium 2018–2019 Addendum Overview of the resources required for each proposed option for the secretariat of the Minamata Convention
UNEP(MC)/COP.1/22	Matters for action by the Conference of the Parties under articles 3, 4, 5, 14 and 16 of the Minamata Convention on Mercury
UNEP(MC)/COP.1/23	Guidance in relation to mercury emissions referred to in paragraphs 9 (a) and 9 (b) of article 8
UNEP(MC)/COP.1/24	Guidance in relation to releases of mercury (article 9), particularly in regard to best available techniques and best environmental practices (paragraph 7 (a)) and the methodology for preparing inventories of releases (paragraph 7 (b))
UNEP(MC)/COP.1/25	Draft guidelines on the interim storage of mercury and mercury compounds referred to in paragraph 3 of article 10
UNEP(MC)/COP.1/26	Compilation of additional information on the use of mercury waste thresholds
UNEP(MC)/COP.1/26/Add.1	Compilation of additional information on the use of mercury waste thresholds Addendum: Decision in relation to article 11 forwarded from the seventh session of the intergovernmental negotiating committee
UNEP(MC)/COP.1/27	Guidance on the management of contaminated sites referred to in paragraph 3 of article 12
UNEP(MC)/COP.1/28	Updated analysis of the offer by the Government of Switzerland to host the permanent secretariat of the Minamata Convention on Mercury in Geneva, based on the clarifications received on 27 June 2017
UNEP(MC)/COP.1/INF/1	Available information that might assist the committee's work
UNEP(MC)/COP.1/INF/2	Global Mercury Partnership
UNEP(MC)/COP.1/INF/3	Activities of the Global Environment Facility
UNEP(MC)/COP.1/INF/4	Special Programme to support institutional strengthening at the national level for implementation of the Basel, Rotterdam and Stockholm

Symbol	Title
	conventions, the Minamata Convention on Mercury and the Strategic Approach to International Chemicals Management: implementation of the programme since its establishment
UNEP(MC)/COP.1/INF/5	Report on activities undertaken by partner organizations
UNEP(MC)/COP.1/INF/6	Report on the outcomes of the Mercury Waste Project
UNEP(MC)/COP.1/INF/7	Offer by the Government of Switzerland to host the permanent secretariat of the Minamata Convention on Mercury in Geneva
UNEP(MC)/COP.1/INF/8	Clarification provided by the Government of Switzerland to host the permanent secretariat of the Minamata Convention on Mercury in Geneva
UNEP(MC)/COP.1/INF/9	Relationship between the United Nations Environment Programme and the multilateral environmental agreements for which it provides the secretariats
UNEP(MC)/COP.1/INF/10	Informal process on the establishment of mercury waste thresholds led by the Government of Japan
UNEP(MC)/COP.1/INF/11	Update on the Strategic Approach to International Chemicals Management
UNEP(MC)/COP.1/INF/12	Addressing health aspects in the context of developing national action plans under the Minamata Convention on Mercury
UNEP(MC)/COP.1/INF/13	World Health Organization road map to enhance health sector engagement in the Strategic Approach to International Chemicals Management towards the 2020 goal and beyond
UNEP(MC)/COP.1/INF/14	Decisions adopted by the conferences of the parties to the Basel, Rotterdam and Stockholm conventions that make specific reference to the Minamata Convention on Mercury

Table 2
Other documents relating to specific articles of the Minamata Convention on Mercury for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
Article 1 Objective	Relevant working documents prepared for the first session UNEP(DTIE)/Hg/INC.1/6: Possible tool for tracking the progress of the intergovernmental negotiating committee in developing provisions of the global legally binding instrument on mercury UNEP(DTIE)/Hg/INC.1/16: International trade law that may be relevant to the future mercury instrument, including provisions on trade set out in selected conventions UNEP(DTIE)/Hg/INC.1/18: Relevant issues being considered in international forums and their possible impact on the mercury negotiation process UNEP(DTIE)/Hg/INC.1/19: Potential costs and benefits associated with each provision listed in paragraph 27 of Governing Council decision 25/5 UNEP(DTIE)/Hg/INC.1/INF/8: Potential costs and benefits associated with each provision listed in paragraph 27 of Governing Council decision 25/5	
Article 2 Definitions	Relevant working documents prepared for the first session UNEP(DTIE)/Hg/INC.1/14: Glossaries of key terms	
Article 3 Mercury supply sources and trade	Relevant working documents prepared for the first meeting of the Conference of the Parties: UNEP/MC/COP.1/5: Guidance in relation to mercury supply sources and trade (article 3), particularly in regard to identification of stocks and sources of supply (paragraph 5 (a)) and forms and guidance for obtaining consent to import mercury (paragraphs 6 and 8) UNEP/MC/COP.1/6: Required content of the certification required for the import of mercury from non-parties in accordance with paragraphs 6 (b) and 8 of article 3 UNEP/MC/COP.1/22: Matters for action by the Conference of the Parties under articles 3, 4, 5, 14 and 16 of the Minamata Convention on Mercury- posted 10 July 2017 Relevant working documents prepared for the seventh session: UNEP(DTIE)/Hg/INC.7/3: Draft guidance to assist parties in completing the forms required under Article 3	C. Summary of Supply, Trade and Demand Information on Mercury, November 2006 F. Report on current supply and demand for mercury, including projections considering the phase-out of primary mercury mining, July 2008 I. Study on the possible effects on human health and the environment of the trade of products containing lead, cadmium and mercury in Latin America and the Caribbean, Asia and the Pacific and Africa

¹ Descriptions of the background documents are provided in the appendix to the present annex.

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
	UNEP(DTIE)/Hg/INC.7/4: Draft guidance on identification of individual stocks of mercury or mercury compounds exceeding 50 metric tons, as well as sources of mercury supply generating stocks exceeding 10 metric tonnes per year	
	UNEP(DTIE)/Hg/INC.7/5: Compilation of submissions on the question of whether additional guidance was necessary in accordance with paragraph 12 of article 3 of the Minamata Convention	
	UNEP(DTIE)/Hg/INC.7/INF.3: Progress report on the UNEP Global Mercury Partnership	
	Relevant working document prepared for the second session:	
	UNEP(DTIE)/Hg/INC.2/15: Analysis of possible options for using partnerships to help achieve the goals of the future instrument on mercury	
	UNEP(DTIE)/Hg/INC.2/INF/2: Guidelines on the environmentally sound management of elemental mercury and waste containing or contaminated with mercury	
	Relevant working and information documents prepared for the first session:	
	UNEP(DTIE)/Hg/INC.1/16: International trade law that may be relevant to the future mercury instrument, including provisions on trade set out in selected conventions	
	UNEP(DTIE)/Hg/INC.1/19: Potential costs and benefits associated with each provision listed in paragraph 27 of Governing Council decision 25/5	
	UNEP(DTIE)/Hg/INC.1/20: Update of information on the supply and trade of mercury	
	UNEP(DTIE)/Hg/INC.1/INF/8: Potential costs and benefits associated with each provision listed in paragraph 27 of Governing Council decision 25/5	
	UNEP(DTIE)/Hg/INC.1/INF/9: Update of information on the supply and trade of mercury	
	UNEP(DTIE)/Hg/INC.1/INF/10: Update on activities related to mercury supply and the environmentally sound storage of mercury	

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
Article 4 Mercury-added	Relevant working documents prepared for the first meeting of the Conference of the Parties:	C. Summary of Supply, Trade and Demand Information on Mercury, November 2006
products Article 5	UNEP/MC/COP.1/16: Formats to be used in registering an exemption from the phase out dates listed in Annex A and Annex B, including the information to be provided upon registering for an exemption, and for the register of exemptions	E. Guide for Reducing Major Uses and Releases of Mercury, June 2006
Manufacturing processes in which	UNEP/MC/COP.1/22	F. Report on current supply and demand for mercury,
mercury or mercury compounds are used	Matters for action by the Conference of the Parties under articles 3, 4, 5, 14 and 16 of the Minamata Convention on Mercury	including projections considering the phase-out of primary mercury mining, July 2008
•	Relevant working documents prepared for the sixth session:	M. Economics of Conversion to Mercury-Free Products, October 2011
Article 6 Exemptions available	UNEP(DTIE)/Hg/INC.6/6: Draft proposal on the format for registering exemptions	P. Global Chemicals Outlook: Towards Sound Management of
to a Party upon request	UNEP(DTIE)/Hg/INC.6/7: Proposal on information to be supplied when registering an exemption	Chemicals. Synthesis Report for Decision Makers, September 2012
	UNEP(DTIE)/Hg/INC.6/8: Proposal on the register of exemptions to be maintained by the secretariat, including information on the maintenance of information supplied by States and regional economic integration organizations upon becoming parties	
	UNEP(DTIE)/Hg/INC.6/INF.3: Progress report on the UNEP Global Mercury Partnership	
	Relevant working documents prepared for the fourth session:	
	UNEP(DTIE)/Hg/INC.4/6: Information on possible transitional arrangements pending phase out of mercury-added products and manufacturing processes in which mercury is used	
	Relevant working document prepared for the third session:	
	UNEP(DTIE)/Hg/INC.3/6: Addressing health in the mercury instrument	
	Relevant working documents prepared for the second session:	
	UNEP(DTIE)/Hg/INC.2/11: Mercury-containing products, processes and technologies and their alternatives	
	UNEP(DTIE)/Hg/INC.2/12: Cost-benefit analysis of existing alternatives to mercury-based products, processes and technologies	
	UNEP(DTIE)/Hg/INC.2/13: Options for regulating mercury in products	
	UNEP(DTIE)/Hg/INC.2/17: Global inventory of mercury cell chlor-alkali facilities	
	Relevant working and information documents prepared for the first session:	
	UNEP(DTIE)/Hg/INC.1/13: Concept of essential use in international agreements	

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
3		C. Summary of Supply, Trade and Demand Information on Mercury, November 2006 E. Guide for Reducing Major Uses and Releases of Mercury, June 2006 F. Report on current supply and demand for mercury, including projections considering the phase-out of primary mercury mining, July 2008 K. Analysis of formalization approaches in the artisanal and small-scale gold mining sector based on experiences in Ecuador, Mongolia, Peru, Tanzania and Uganda, June 2012 L. Reducing Mercury Use in Artisanal and Small-scale Gold mining: A practical guide. Introduction to the document and draft technical document, June 2012 P. Global Chemicals Outlook: Towards Sound Management of Chemicals. Synthesis Report for Decision Makers, September 2012
	Relevant working document prepared for the fourth session: UNEP(DTIE)/Hg/INC.4/7: Compilation of reporting obligations and action plans envisaged in the draft negotiating text and survey of reporting obligations and action plans under other relevant multilateral environment agreements	
	Relevant working documents prepared for the second session: UNEP(DTIE)/Hg/INC.2/8: Inventory of projects completed or under way in each country relating to artisanal and small-scale gold mining, including awareness-raising, technical assistance, formalization and financial assistance projects UNEP(DTIE)/Hg/INC.2/9: Methodologies for determining mercury exposure in people involved in artisanal and small-scale gold mining UNEP(DTIE)/Hg/INC.2/12: Cost-benefit analysis of existing alternatives to mercury-based products, processes and technologies	
	Relevant working and information documents prepared for the first session: UNEP(DTIE)/Hg/INC.1/19: Potential costs and benefits associated with each provision listed in paragraph 27 of Governing Council decision 25/5 UNEP(DTIE)/Hg/INC.1/INF/8: Potential costs and benefits associated with each provision listed in paragraph 27 of Governing Council decision 25/5	

Article 8 Emissions

Article 9 Releases

Relevant working documents prepared for the first meeting of the Conference of the Parties:

UNEP/MC/COP.1/7: Guidance in relation to mercury emissions (article 8) referred to in paragraphs 8 (a) and 8 (b)

UNEP/MC/COP.1/23: Guidance in relation to mercury emissions referred to in paragraphs 9 (a) and 9 (b) of article 8

UNEP/MC/COP.1/24: Guidance in relation to releases of mercury (article 9), particularly in regard to best available techniques and best environmental practices (paragraph 7 (a)) and the methodology for preparing inventories of releases (paragraph 7 (b))

Relevant working documents prepared for the seventh session:

UNEP(DTIE)/Hg/INC.7/6: Report of the group of technical experts on the development of guidance required under article 8 of the Convention

UNEP(DTIE)/Hg/INC.7/6/Add1: Draft guidance on best available techniques and best environmental practices

UNEP(DTIE)/Hg/INC.7/6/Add2: Draft guidance on support for parties in implementing the measures set out in paragraph 5 of article 8, in particular in determining goals and in setting emission limit values

 $\label{eq:unepolicy} \mbox{UNEP(DTIE)/Hg/INC.7/6/Add3: Draft guidance on criteria that parties may develop pursuant to paragraph 2 (b) of article 8$

UNEP(DTIE)/Hg/INC.7/6/Add4: Draft guidance on preparing inventories of emissions

UNEP(DTIE)/Hg/INC.7/INF1: Comments received on Best Available Techniques and Best Environmental Practices guidance documents during the public comment period

UNEP(DTIE)/Hg/INC.7/INF.3: Progress report on the UNEP Global Mercury Partnership

Relevant working document prepared for the fifth session:

UNEP(DTIE)/Hg/INC.5/4: Air emission thresholds for facilities and information on releases to land and water

UNEP(DTIE)/Hg/INC.5/INF/1: Air emission thresholds for facilities and information on releases to land and water

Relevant working documents prepared for the fourth session:

UNEP(DTIE)/Hg/INC.4/5: Approach to possible elements of Articles 10 and 11 prepared by the co-chairs of the contact group on emissions and releases

UNEP(DTIE)/Hg/INC.4/7: Compilation of reporting obligations and action plans envisaged in the draft negotiating text and survey of reporting obligations and action plans under other relevant multilateral environment agreements

Relevant working document prepared for the third session:

UNEP(DTIE)/Hg/INC.3/5: Releases of mercury from the oil and gas industry

- B1. Global Mercury Assessment 2013: Sources, Emissions, Releases and Environmental Transport, January 2013 (summary report for policy makers and technical background report. The Technical Background Report includes calculated emission inventories for all countries).
- B2. Global Mercury Modelling: Update of Modelling Results in the Global Mercury Assessment 2013 (June 2015)
- D1. Toolkit for Identification and Quantification of Mercury Releases, Guidelines for inventory level 1 and level 2, 2015
- D2: MercuryLearn Platform, an online training platform to enhance the usability of the UNEP Toolkit as a standard methodology to prepare national mercury emissions and releases inventories.
- E. Guide for Reducing Major Uses and Releases of Mercury, June 2006
- O. Process Optimization Guidance (POG) for Reducing Mercury Emissions from Coal Combustion in Power Plants (report) and software (iPOG).
- P. Global Chemicals Outlook: Towards Sound Management of Chemicals. Synthesis Report for Decision Makers, September 2012

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
	Relevant working and information documents prepared for the second session:	
	UNEP(DTIE)/Hg/INC.2/4: Study on mercury sources and emissions and analysis of the cost and effectiveness of control measures	
	UNEP(DTIE)/Hg/INC.2/16: Relationship between the future mercury instrument and the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal	
	UNEP(DTIE)/Hg/INC.2/18: Process optimization guidance for reducing mercury emissions from coal combustion in power plants (executive summary)	
	UNEP(DTIE)/Hg/INC.2/INF/2: Guidelines on the environmentally sound management of elemental mercury and waste containing or contaminated with mercury	
	UNEP(DTIE)/Hg/INC.2/INF/5: Process optimization guidance for reducing mercury emissions from coal combustion in power plants (full report)	
	Relevant working and information documents prepared for the first session:	
	UNEP(DTIE)/Hg/INC.1/15: Progress in the preparation of the study called for in paragraph 29 of Governing Council decision 25/5	
Article 10 Environmentally	Relevant working documents prepared for the first meeting of the Conference of the Parties:	B1. Global Mercury Assessment 2013: Sources, Emissions,
sound interim storage of mercury, other	UNEP/MC/COP.1/25: Draft guidelines on the interim storage of mercury and mercury compounds referred to in paragraph 3 of article 10	Releases and Environmental Transport, January 2013 (Summary report for policy makers and fully referenced and detailed Technical Background Report).
than waste mercury	Relevant working document prepared for the seventh session:	D1. Toolkit for Identification and Quantification of Mercury
	UNEP(DTIE)/Hg/INC.7/18: Environmentally sound interim storage: compilation and summary	Releases, Guidelines for inventory level 1 and level 2, 2015
	of submissions; identification of relevant sections of the Basel guidance; and a roadmap for work on interim guidance.	E. Guide for Reducing Major Uses and Releases of Mercury. June 2006
	Relevant working documents prepared for the sixth session:	H. Management of Mercury and Mercury-Containing Waste:
	UNEP(DTIE)/Hg/INC.6/17: Development of guidance on the environmentally sound interim storage of mercury	Final Project Report, June 2010 N. A guide for managing mercury waste at household and
	UNEP(DTIE)/Hg/INC.6/INF.3: Progress report on the UNEP Global Mercury Partnership	community level, August 2011
	Relevant working document prepared for the fifth session:	Several reports produced in the context of the mercury waste
	UNEP(DTIE)/Hg/INC.5/4: Air emission thresholds for facilities and information on releases to land and water	partnership area are relevant to the mercury waste issue and are available at:
	UNEP(DTIE)/Hg/INC.5/ INF/1: Air emission thresholds for facilities and information on releases to land and water	http://www.unep.org/chemicalsandwaste/Mercury/InterimActivities/Partnerships/WasteManagement/tabid/3535/language/en-US/Default.aspx
	Relevant working documents prepared for the third session:	S. Practical Sourcebook on Mercury Waste Storage and Disposal, UNEP, September 2015

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
	UNEP(DTIE)/Hg/INC.3/7: Relationship between the future mercury instrument and the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal	
	Relevant working and information documents prepared for the second session:	
	UNEP(DTIE)/Hg/INC.2/4: Study on mercury sources and emissions and analysis of the costs and effectiveness of control measures	
	UNEP(DTIE)/Hg/INC.2/16: Relationship between the future mercury instrument and the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal	
	UNEP(DTIE)/Hg/INC.2/INF/2: Guidelines on the environmentally sound management of elemental mercury and waste containing or contaminated with mercury	
	Relevant working and information documents prepared for the first session:	
	UNEP(DTIE)/Hg/INC.1/INF/3: Information supplied by the secretariat of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal	
Article 11 Mercury wastes	Relevant working documents prepared for the first meeting of the Conference of the Parties: UNEP/MC/COP.1/19: Consideration of the report on mercury emissions related to then open burning of waste	B1. Global Mercury Assessment 2013: Sources, Emissions, Releases and Environmental Transport, January 2013 (Summary report for policy makers and fully referenced and detailed Technical Background Report).
	UNEP/MC/COP.1/26: Compilation of additional information on the use of mercury waste thresholds UNEP/MC/COP.1/26/Add.1: Compilation of additional information on the use of mercury waste	D1. Toolkit for Identification and Quantification of Mercury Releases, Guidelines for inventory level 1 and level 2, 2015 and the MercuryLearn Platform (see under article 8)
	thresholds Addendum: Decision in relation to article 11 forwarded from the seventh session of the intergovernmental negotiating committee	E. Guide for Reducing Major Uses and Releases of Mercury, June 2006
	UNEP/MC/COP.1/INF/6: Report on the outcomes of the Mercury Waste Project UNEP/MC/COP.1/INF/10: Informal process on the establishment of mercury waste thresholds	H. Management of Mercury and Mercury-Containing Waste: Final Project Report, June 2010
	led by the Government of Japan Relevant working document prepared for the seventh session:	N. A guide for managing mercury waste at household and community level, August 2011
	UNEP(DTIE)/Hg/INC.7/19: Compilation of information on the use of mercury waste thresholds	Several reports produced in the context of the mercury waste partnership area are relevant to the mercury waste issue and
	Relevant working documents prepared for the sixth session: UNEP(DTIE)/Hg/INC.6/18: Consideration of the thresholds for identification of mercury waste	are available at: www.unep.org/chemicalsandwaste/Mercury/InterimActivities/ Partnerships/WasteManagement/tabid/3535/language/en- US/Default.aspx
		Q1. Promoting the 'phase down' Approach of Dental Amalgam in Developing countries – Tanzania, October 2014.

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
	UNEP(DTIE)/Hg/INC.6/INF.3: Progress report on the UNEP Global Mercury Partnership	Q2. Lessons from Countries Phasing Down Dental Amalgam
	UNEP(DTIE)/Hg/INC.6/INF.10: Status of work on the updating of the technical guidelines for the environmentally sound management of wastes consisting of elemental mercury and wastes containing or contaminated with mercury under the Basel Convention	Use, UNEP, March 2016 S. Practical Sourcebook on Mercury Waste Storage and Disposal, UNEP, September 2015
	Relevant working document prepared for the fifth session:	
	UNEP(DTIE)/Hg/INC.5/4: Air emission thresholds for facilities and information on releases to land and water	
	UNEP(DTIE)/Hg/INC.5/ INF/1: Air emission thresholds for facilities and information on releases to land and water	
	Relevant working documents prepared for the third session:	
	UNEP(DTIE)/Hg/INC.3/5: Releases of mercury from the oil and gas industry	
	UNEP(DTIE)/Hg/INC.3/7: Relationship between the future mercury instrument and the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal	
	Relevant working and information documents prepared for the second session:	
	UNEP(DTIE)/Hg/INC.2/4: Study on mercury sources and emissions and analysis of the costs and effectiveness of control measures	
	UNEP(DTIE)/Hg/INC.2/16: Relationship between the future mercury instrument and the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal	
	UNEP(DTIE)/Hg/INC.2/INF/2: Guidelines on the environmentally sound management of elemental mercury and waste containing or contaminated with mercury	
	Relevant working and information documents prepared for the first session:	
	UNEP(DTIE)/Hg/INC.1/INF/3: Information supplied by the secretariat of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal	

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
Article 12 Contaminated sites	Relevant working documents prepared for the first meeting of the Conference of the Parties: UNEP/MC/COP.1/27: Guidance on the management of contaminated sites referred to in paragraph 3 of article 12	B1. Global Mercury Assessment 2013: Sources, Emissions, Releases and Environmental Transport, January 2013 (Summary report for policy makers and fully referenced and detailed Technical Background Report).D. Mercury
	Relevant working documents prepared for the seventh session: UNEP(DTIE)/Hg/INC.7/20: Guidance on managing contaminated sites and the proposed way forward for developing guidance	awareness-raising package, January 2009 D1. Toolkit for Identification and Quantification of Mercury Releases, Guidelines for inventory level 1 and level 2, 2015 and the MercuryLearn Platform (see under article 8)
	Relevant working documents prepared for the sixth session: UNEP(DTIE)/Hg/INC.6/19: Guidance on managing contaminated sites and the proposed way forward for developing guidance	E. Guide for Reducing Major Uses and Releases of Mercury, June 2006
	UNEP(DTIE)/Hg/INC.6/INF.3: Progress report on the UNEP Global Mercury Partnership	H. Management of Mercury and Mercury-Containing Waste: Final Project Report, June 2010
	Relevant working document prepared for the fifth session: UNEP(DTIE)/Hg/INC.5/4: Air emission thresholds for facilities and information on releases to	N. A guide for managing mercury waste at household and community level, August 2011
	land and water UNEP(DTIE)/Hg/INC.5/INF/1: Air emission thresholds for facilities and information on releases to land and water	Several reports produced in the context of the mercury waste partnership area are relevant to the mercury waste issue and are available at:
	Relevant working documents prepared for the third session: UNEP(DTIE)/Hg/INC.3/5: Releases of mercury from the oil and gas industry UNEP(DTIE)/Hg/INC.3/7: Relationship between the future mercury instrument and the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal Relevant working and information documents prepared for the second session: UNEP(DTIE)/Hg/INC.2/4: Study on mercury sources and emissions and analysis of the costs and effectiveness of control measures UNEP(DTIE)/Hg/INC.2/16: Relationship between the future mercury instrument and the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal UNEP(DTIE)/Hg/INC.2/INF/2: Guidelines on the environmentally sound management of elemental mercury and waste containing or contaminated with mercury Relevant working and information documents prepared for the first session: UNEP(DTIE)/Hg/INC.1/INF/3: Information supplied by the secretariat of the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal	www.unep.org/chemicalsandwaste/Mercury/InterimActivities Partnerships/WasteManagement/tabid/3535/language/en- US/Default.aspx

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
Article 13 Financial resources and mechanism Article 14 Capacity-building, technical assistance and technology transfer	Relevant working documents prepared for the first meeting of the Conference of the Parties: UNEP/MC/COP.1/8: Guidance to the Global Environment Facility on overall strategies, policies, programme priorities, eligibility for access to and utilization of financial resources and on an indicative list of categories of activities that could receive support from the Global Environment Facility Trust Fund UNEP/MC/COP.1/9: Specific international programme to support capacity-building and teechnical assistance UNEP/MC/COP.1/9/Add.1: Location options within the United Nations Environment Programme, governance arrangements and duration of the specific international programme to support capacity-building and technical assistance UNEP/MC/COP.1/15 Draft memorandum of understanding between the Conference of Parties to the Minamata Convention on Mercury and the Council of the Global Environment Facility UNEP/MC/COP.1/22: Matters for action by the Conference of the Parties under articles 3, 4, 5, 14 and 16 of the Minamata Convention on Mercury UNEP/MC/COP.1/INF/3 Activities of the Global Environment Facility Relevant working documents prepared for the seventh session: UNEP(DTIE)/Hg/INC.7/7: Draft memorandum of understanding between the Conference of the Parties of the Minamata Convention on Mercury and the Council of the Global Environment Facility UNEP(DTIE)/Hg/INC.7/8: Draft guidance to the Global Environment Facility on overall strategies, policies, programme priorities, eligibility for access to and utilization of financial resources and on an indicative list of categories of activities that could receive support from the Global Environment Facility Trust Fund UNEP(DTIE)/Hg/INC.7/9: Report by the co-chairs of the ad hoc working group of experts on financing established at the sixth session of the intergovernmental negotiating committee UNEP(DTIE)/Hg/INC.1NF/6: Options and related governance arrangements under UNEP as the host institution that could best serve the Specific International Programme Relevant worki	J. Overarching Framework: UNEP Global Mercury Partnership, June 2009 O. Process Optimization Guidance (POG) for Reducing Mercury Emissions from Coal Combustion in Power Plants (report) and software (iPOG).

Article of the Minamata	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the	Relevant background documents ¹
Convention on Mercury	Conference of the Parties to the Minamata Convention on Mercury	
	UNEP(DTIE)/Hg/INC.6/21: Input to preliminary draft guidance on overall strategies, policies,	
	programme priorities and eligibility for access to and utilization of financial resources, and of an	
	indicative list of categories of activities to be funded by the Global Environment Facility trust	
	fund	
	UNEP(DTIE)/Hg/INC.6/23: Progress towards development of a memorandum of understanding between the Conference of the Parties and the Council of the Global Environment Facility	
	UNEP(DTIE)/Hg/INC.6/INF/2: Terms of reference for the Special Programme to support	
	institutional strengthening at the national level for implementation of the Basel, Rotterdam and Stockholm Conventions, the Minamata Convention and the Strategic Approach to International	
	Chemicals Management	
	UNEP(DTIE)/Hg/INC.6/INF/6: Report on activities of the Global Environment Facility in	
	relation to the Minamata Convention during the interim period	
	UNEP(DTIE)/Hg/INC.6/INF/7: Progress report on the work of the interim secretariat	
	UNEP(DTIE)/Hg/INC.6/INF/8: Examples of memorandums of understanding between the	
	Council of the Global Environment Facility and the governing bodies of multilateral	
	environmental agreements	
	UNEP(DTIE)/Hg/INC.6/INF/9: Strengthening the sound management of chemicals and wastes in the long term	
	Relevant working document prepared for the fifth session:	
	UNEP(DTIE)/Hg/INC.5/6: Draft elements of the final act to be adopted at the anticipated diplomatic conference	
	Relevant working and information documents prepared for the fourth session:	
	UNEP(DTIE)/Hg/INC.4/4: Proposal for a conceptual approach and possible text on financial resources and technical assistance	
	UNEP(DTIE)/Hg/INC.4/INF/1: Information submitted by parties on financial resources and technical and implementation assistance	
	Relevant working and information documents prepared for the third session:	
	UNEP(DTIE)/Hg/INC.3/4: Further comparative analysis of options for financial mechanisms to support the global legally binding instrument on mercury	
	UNEP(DTIE)/Hg/INC3/INF/3: Progress of the consultative process on financing options for chemicals and wastes led by the United Nations Environment Programme	

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Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
	Relevant working documents prepared for the second session:	
	UNEP(DTIE)/Hg/INC.2/14: Analysis of possible funding sources and what they might cover, including an analysis of the role of the private sector	
	UNEP(DTIE)/Hg/INC.2/15: Analysis of possible options for using partnerships to help achieve the goals of the future instrument on mercury	
	Relevant working and information documents prepared for the first session:	
	UNEP(DTIE)/Hg/INC.1/8: Options for predictable and efficient financial assistance arrangements	
	UNEP(DTIE)/Hg/INC.1/9: Options for delivery of technical assistance and capacity-building: examples from multilateral environmental agreements and other organizations	
	UNEP(DTIE)/Hg/INC.1/10: Facilitating sustainable technology transfer and support for global mercury control actions: experience within existing legally binding and voluntary arrangements	
	UNEP(DTIE)/Hg/INC.1/19: Potential costs and benefits associated with each provision listed in paragraph 27 of Governing Council decision 25/5	
	UNEP(DTIE)/Hg/INC.1/INF/5: Progress of the consultative process on financing options for chemicals and wastes led by the United Nations Environment Programme	
	UNEP(DTIE)/Hg/INC.1/INF/8: Potential costs and benefits associated with each provision listed in paragraph 27 of Governing Council decision 25/5	
Article 15 Implementation and	Relevant working documents prepared for the first meeting of the Conference of the Parties:	
Compliance Committee	UNEP/MC/COP.1/10: Membership of the Implementation and Compliance Committee as referred to in paragraph 3 of article 15	
	Relevant working and information documents prepared for the first session:	
	UNEP(DTIE)/Hg/INC.1/11: Key concepts, procedures and mechanisms of legally binding multilateral agreements that may be relevant to furthering compliance under the future mercury instrument	
Article 16 Health aspects	Relevant working documents prepared for the first meeting of the Conference of the Parties:	
	UNEP/MC/COP.1/22: Matters for action by the Conference of the Parties under articles 3, 4, 5, 14 and 16 of the Minamata Convention on Mercury	
	UNEP/MC/COP.1/INF/13: World Health Organization road map to enhance health sector engagement in the Strategic Approach to International Chemicals Management towards the 2020 goal and beyond	

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
	Relevant working and information documents prepared for the sixth session:	
	UNEP(DTIE)/Hg/INC.6/INF/4: Resolution on mercury adopted by the World Health Assembly at its sixty-seventh session	
	UNEP(DTIE)/Hg/INC.6/INF/5: Progress report on cooperation and coordination with other actors	
	Relevant working document prepared for the fifth session:	
	UNEP(DTIE)/Hg/INC.5/5: Analysis of the extent to which the provisions of the draft mercury instrument reflect the content of article 20 bis on health aspects	
	Relevant working document prepared for the third session:	
	UNEP(DTIE)/Hg/INC.3/6: Addressing health in the mercury instrument	
	Relevant working and information documents prepared for the second session:	
	UNEP(DTIE)/Hg/INC.2/5: Report on indicators to evaluate and track the health impacts of mercury and identify vulnerable populations	
	UNEP(DTIE)/Hg/INC.2/6: Report on information on harmonized systems for measuring mercury body burden	
	UNEP(DTIE)/Hg/INC.2/7: Existing country-specific or regional monitoring efforts relating to fish and marine mammals in the food supply	
	UNEP(DTIE)/Hg/INC.2/9: Methodologies for determining mercury exposure in people involved in artisanal and small-scale gold mining	
	UNEP(DTIE)/Hg/INC.2/19: Executive summary of the document on guidance for identifying populations at risk from mercury exposure	
	UNEP(DTIE)/Hg/INC.2/INF/3: Guidance for identifying populations at risk from mercury exposure	
Article 17	Relevant working and information documents prepared for the sixth session:	A. Global Mercury Assessment, December 2002
Information exchange Article 18	UNEP(DTIE)/Hg/INC.6/INF/4: Resolution on mercury adopted by the World Health Assembly at its sixty-seventh session	B1. Global Mercury Assessment 2013: Sources, Emissions, Releases and Environmental Transport, January 2013
Public information,	Relevant working and information documents prepared for the second session:	(Summary report for policy makers and fully referenced and detailed Technical Background Report).
awareness and education	UNEP(DTIE)/Hg/INC.2/5: Report on indicators to evaluate and track the health impacts of mercury and identify vulnerable populations	D1. Toolkit for Identification and Quantification of Mercury Releases, Guidelines for inventory level 1 and level 2, April
Article 19	UNEP(DTIE)/Hg/INC.2/6: Report on information on harmonized systems for measuring	2015
Research, development and	mercury body burden UNEP(DTIE)/Hg/INC.2/7: Existing country-specific or regional monitoring efforts relating to	E. Guide for Reducing Major Uses and Releases of Mercury June 2006

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
	UNEP(DTIE)/Hg/INC.2/8: Inventory of projects completed or under way in each country relating to artisanal and small-scale gold mining, including awareness-raising, technical assistance, formalization and financial assistance projects	
	UNEP(DTIE)/Hg/INC.2/9: Methodologies for determining mercury exposure in people involved in artisanal and small-scale gold mining	
	UNEP(DTIE)/Hg/INC.2/10/Rev.1: Collation and analysis of available data on mercury releases in relevant sectors at the national level	
	UNEP(DTIE)/Hg/INC.2/19: Executive summary of the document on guidance for identifying populations at risk from mercury exposure	
	UNEP(DTIE)/Hg/INC.2/INF/3: Guidance for identifying populations at risk from mercury exposure	
	Relevant working and information documents prepared for the first session:	
	UNEP(DTIE)/Hg/INC.1/12: Effectiveness evaluation in other conventions and possible approaches to establishing baselines	
Article 20 Implementation plans	Relevant working documents prepared for the first meeting of the Conference of the Parties:	
	UNEP/MC/COP.1/INF/4: Special Programme to support institutional strengthening at the national level for implementation of the Basel, Rotterdam and Stockholm conventions, the Minamata Convention on Mercury and the Strategic Approach to International Chemicals Management: implementation of the programme since its establishment	
	Relevant working document prepared for the fourth session:	
	UNEP(DTIE)/Hg/INC.4/7: Compilation of reporting obligations and action plans envisaged in the draft negotiating text and survey of reporting obligations and action plans under other relevant multilateral environment agreements	
Article 21 Reporting	Relevant working documents prepared for the first meeting of the Conference of the Parties:	
	UNEP/MC/COP.1/11: Timing and format of the reporting to be followed by the parties, as set out in paragraph 3 of article 21	
	Relevant working documents prepared for the seventh session:	
	UNEP(DTIE)/Hg/INC.7/10: Draft reporting format as amended by the intergovernmental negotiating committee on mercury at its sixth session	
	UNEP(DTIE)/Hg/INC.7/11: Compilation of information on the frequency of reporting under other multilateral environmental agreements, including the Basel, Rotterdam and Stockholm conventions, along with available data on the submission of reporting rates under other agreements	

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Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
	Relevant working document prepared for the fourth session:	
	UNEP(DTIE)/Hg/INC.4/7: Compilation of reporting obligations and action plans envisaged in the draft negotiating text and survey of reporting obligations and action plans under other relevant multilateral environment agreements	
	Relevant working and information documents prepared for the first session:	
	UNEP(DTIE)/Hg/INC.1/11: Key concepts, procedures and mechanisms of legally binding multilateral agreements that may be relevant to furthering compliance under the future mercury instrument	
Article 22 Effectiveness	Relevant working documents prepared for the first meeting of the Conference of the Parties:	
evaluation	UNEP/MC/COP.1/12: Establishment of arrangements in regard to effectiveness evaluation as referred to in paragraph 2 of article 22	
	Relevant working and information document prepared for the seventh session:	
	UNEP(DTIE)/Hg/INC.7/12: Compilation and analysis of the means of obtaining monitoring data in relation to effectiveness evaluation	
	Relevant working and information document prepared for the first session:	
	UNEP(DTIE)/Hg/INC.1/12: Effectiveness evaluation in other conventions and possible approaches to establishing baselines	
Article 23 Conference of the	Relevant working documents prepared for the first meeting of the Conference of the Parties:	
Parties	UNEP/MC/COP.1/3: Draft rules of procedure for the Conference of the Parties to the Minamata Convention on Mercury	
	UNEP/MC/COP.1/13: Draft financial rules for the Conference of the Parties to the Minamata Convention on Mercury and any of its subsidiary bodies, as well as financial provisions governing the functioning of the secretariat of the Convention	
	Relevant working and information documents prepared for the seventh session:	
	UNEP(DTIE)/Hg/INC.7/13: Draft rules of procedure for the Conference of the Parties to the Minamata Convention on Mercury	
	UNEP(DTIE)/Hg/INC.7/14: Draft financial rules for the Conference of the Parties to the Minamata Convention on Mercury	

Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
Article 24 Secretariat	Relevant working documents prepared for the first meeting of the Conference of the Parties:	
	UNEP/MC/COP.1/14: Revised report on proposals on how the Executive Director of the United Nations Environment Programme would perform the functions of the permanent secretariat for the Minamata Convention on Mercury	
	UNEP/MC/COP.1/18/Rev.1: Physical location of the permanent secretariat of the Minamata Convention on Mercury	
	UNEP/MC/COP.1/20: Progress report on the work of the interim secretariat in the period since the seventh session of the intergovernmental negotiating committee to prepare a global legally binding instrument on mercury- posted 30 August 2017	
	UNEP/MC/COP.1/21: Programme of work of the secretariat and budget for the period 2018–2019- posted 12 September 2017	
	UNEP/MC/COP.1/21/Add.1: Programme of work of the secretariat and budget for the biennium 2018–2019	
	Addendum: Overview of resources required for proposed activities included in the programme of work of the Minamata Convention on Mercury	
	UNEP/MC/COP.1/21/Add.2: Programme of work of the secretariat and budget for the biennium 2018–2019	
	Addendum: Overview of the resources required for staffing the proposed options for the secretariat of the Minamata Convention on Mercury and projected salary costs for the proposals on how the Executive Director would perform the functions of the secretariat	
	UNEP/MC/COP.1/21/Add.3: Programme of work of the secretariat and budget for the biennium 2018–2019	
	Addendum: Assessed contributions	
	UNEP/MC/COP.1/21/Add.4: Programme of work of the secretariat and budget for the biennium 2018–2019	
	Addendum: Overview of the resources required for each proposed option for the secretariat of the Minamata Convention	
	UNEP/MC/COP.1/28: Updated analysis of the offer by the Government of Switzerland to host the permanent secretariat of the Minamata Convention on Mercury in Geneva, based on the clarifications received on 27 June 2017	
	Relevant working and information documents prepared for the seventh session:	
	UNEP(DTIE)/Hg/INC.7/15: Report on proposals on how the Executive Director of the United Nations Environment Programme will perform the functions of the permanent secretariat for the Minamata Convention on Mercury	

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Article of the Minamata Convention on Mercury	Relevant working and information documents prepared for the first, second, third, fourth, fifth, sixth and seventh sessions of the intergovernmental negotiating committee, and for the first meeting of the Conference of the Parties to the Minamata Convention on Mercury	Relevant background documents ¹
	UNEP(DTIE)/Hg/INC.7/16: Analysis of offers to host the permanent secretariat of the Minamata Convention on Mercury UNEP(DTIE)/Hg/INC.INF/5: Offer to host the permanent secretariat from the Government of Switzerland	

Appendix

Background documents for the consideration of the intergovernmental negotiating committee to prepare a global legally binding instrument on mercury

- A. Global Mercury Assessment, December 2002 (available in English, French and Spanish at http://www.unep.org/chemicalsandwaste/what-we-do/technology-and-metals/mercury/global-mercury-assessment)
 - 1. The Global Mercury Assessment was submitted to the Governing Council at its twenty-second session. It provides information on many aspects of mercury, including chemistry, toxicology, impacts on human health and the environment and global cycling of mercury. It also provides information on the uses of mercury, prevention and control technologies available at the time and initiatives for controlling releases and limiting use and exposure. It formed the basis for Governing Council decision 22/4 of 7 February 2003, in which the Governing Council concluded that mercury posed global problems and required increased action.
- B1. Global Mercury Assessment 2013: Sources, Emissions, Releases and Environmental Transport, January 2013 (summary report and technical background report available in English at http://www.sauvelaterre.com/mercaptans/imag6/942-eng.pdf)
 - 2. The 2013 update of the 2008 report on the global atmospheric mercury assessment was requested by the Governing Council of UNEP at its twenty-fifth session. The document was officially issued during the fifth session of the intergovernmental negotiating committee and information was made available to participants.
 - 3. This report presents sources of mercury emissions to air and water. It presents estimates of anthropogenic emissions to air from various sources based on data from 2010 and estimates for releases to aquatic environment. The report also presents the latest information on atmospheric and aquatic chemistry, fate and transport. It is an overall summary report for policy makers based on a technical background report.
 - 4. The Technical Background Report for the Global Mercury Assessment 2013 is a joint report of UNEP and the Arctic Monitoring and Assessment Programme (a working group under the Arctic Council) which presents the latest comprehensive information on global mercury emissions and releases to the environment, information on atmospheric and aquatic chemistry and fate and transport of mercury. The report is a fully referenced scientific background report for *Global Mercury Assessment 2013: Sources, Emissions, Releases and Environmental Transport*. It contains calculated emissions inventories for all countries.
 - B2. Global Mercury Modelling: Update of Modelling Results in the Global Mercury Assessment 2013 (available in English at: https://wedocs.unep.org/bitstream/handle/20.500.11822/11440/Report-ModellingupdateoftheGMA2013.pdf.pdf?sequence=1&isAllowed=v)
 - 5. The report aims to update the information presented in the Technical Background Report for the Global Mercury Assessment 2013 (AMAP/UNEP, 2013) with new model simulation results and focusing on an evaluation of mercury intercontinental transport (quantifying global patterns of mercury air concentration and deposition) and source attribution of mercury deposition to major geographical regions and aquatic areas of the global ocean.

- C. Summary of Supply, Trade and Demand Information on Mercury, November 2006 (available in English at https://wedocs.unep.org/bitstream/handle/20. 500.11822/11610/HgSupplyTradeDemandJM.pdf?sequence=1&isAllowed=y)
 - 6. The report on supply, trade and demand information on mercury was prepared to inform discussions by the Governing Council at its twenty-fourth session. The report draws upon information submitted by Governments and publicly available databases. It also specifically considers trade in mercury used in artisanal and small-scale mining. It sets out the most common sources of mercury for the global supply, the overall extent of and changes in the global supply, the range of uses of mercury and the demand for mercury in the global supply. It outlines potential scenarios for mercury demand, based both on the status quo at the time the report was prepared and on a focused mercury reduction programme. Information is provided on trends in the price of mercury and the global trade in mercury, subject to the caveat that the clandestine nature of some illegal activities makes it difficult to accurately determine the extent of all mercury trade.
- D1. Toolkit for Identification and Quantification of Mercury Releases, Guidelines for inventory level 1 and level 2, April 2015 (available in English at http://www.unep.org/chemicalsandwaste/what-we-do/technology-and-metals/mercury/toolkit-identification-and-quantification-mercury-releases)
 - 7. The Toolkit is intended to assist countries in developing a national mercury releases inventory. It provides a standardized methodology and accompanying database enabling the development of consistent national and regional mercury inventories. National inventories will assist countries in identifying and addressing mercury releases and thus assist them in evaluating the risks from various sources. Comparable sets of mercury source release data will enhance international cooperation, discussion, goal-definition and assistance.
 - 8. It comprises a procedure recommended by the United Nations Environment Programme for the effective compilation of mercury source and release inventories, given that comparable sets of mercury source release data can enhance international cooperation, discussion, goal-definition and assistance. Comparable data sets also help to establish a global picture of the scale of releases as a step in prioritizing actions to control or reduce releases and enlarging the international knowledge base on mercury uses and releases.
 - 9. The Toolkit guides the inventory developer through the different stages of identifying sources and quantifying the consumption and releases of mercury from these sources. It provides a methodology, examples and extensive information on mercury release sources. The Toolkit has been revised in 2013 based on experiences in using it and new data and exists in two versions:
 - 10. "Inventory Level 1" provides a simplified version of the Toolkit, as well as calculation spreadsheets and a reporting template, to make the development of an overview mercury inventory considerably easier.
 - 11. "Inventory Level 2" is the comprehensive version that includes a detailed description of all mercury sources and is useful for anyone wishing to learn more about a specific mercury release source, including environmental authorities and researchers.

D2. MercuryLearn Platform (available in English at: http://mercurylearn.unitar.org/)

- 12. Based on the Toolkit, the e-learning platform (MercuryLearn) has been developed in cooperation with UNITAR (available in English)
- 13. This tool is developed to introduce the Toolkit to first-time users, serve as a reference tool for trained users, and enhance the usability of the UNEP Toolkit as a standard methodology. The approach of the training platform is to provide the technical information in the Toolkit in a visual manner, with interactive activities, maps, videos and exercises. It also provides a platform for experts to exchange information and experiences in preparing inventories.
- E. Guide for Reducing Major Uses and Releases of Mercury, June 2006 (available in English at https://wedocs.unep.org/bitstream/handle/20.50 0.11822/11588/Hg Sector Guide July 2006.pdf?sequence=1&isAllowed=y)
 - 14. The guide is intended to assist countries in strengthening their knowledge base, identifying sources of possible mercury exposure and readily assessing the viability of the main methods of reducing mercury exposures and risks to populations. The information provided reflects approaches

considered or implemented in some countries, industries or products to reduce or eliminate mercury releases, which may not apply to all situations. Whether approaches are applied in a particular country depends upon government and local priorities, information and education about possible risks, the legal framework, enforcement, implementation costs, perceived benefits and other factors.

- F. Report on current supply and demand for mercury, including projections considering the phase-out of primary mercury mining, July 2008 (UNEP(DTIE)/Hg/OEWG.2/6/Add.1, available in all six official United Nations languages at mercuryconvention.org/Negotiations/OEWG2/tabid/3432/Default.aspx)
 - 15. The report provides an assessment of whether projected demand for mercury could be met if primary mining were phased out. It also provides, based on available information, a brief summary of major sources of mercury releases by country or, if available country-level data is insufficient, by region. The report draws on, among other sources, the atmospheric emissions study prepared for the Governing Council. It covers emissions from coal-fired power plants; industrial emissions (e.g., waste combustion, non-ferrous metals and cement production); artisanal gold-mining use and emissions; and use of mercury in products and processes. Its conclusions are that, excepting the current situation in China, mercury mining is not essential. It also demonstrates that the mercury market reaches an equilibrium of supply and demand following major changes such as the closure of mercury mines in 2003 and 2004.
- G. Technical and Economic Criteria for Processing Mercury-Containing Tailings, April 2010 (available in English at http://drustage.unep.org/chemicalsandwaste/sites/unep.org.chemicalsandwaste/files/publications/POPs %20Waste Technical%20and%20economic%20criteria-2010.pdf)
 - 16. The report includes a technical report identifying key parameters for the assessment of technical and economic opportunities for the processing of mine tailings with high levels of mercury; a description of sampling and analytical approaches for metal analysis; discussion of technical and economic aspects to be considered, taking into account the need to minimize the release of mercury into the environment; and a description of the situation and outline of possible options for a selected site in Chile.
- H. Management of Mercury and Mercury-Containing Waste: Final Project Report, June 2010 (available in English at https://wedocs.unep.org/bitstream/handle/20.500.11822/12917/Draft_Report_of_Mercury_Waste_management_results_WS-Aberdeen_2010.pdf?sequence=1&isAllowed=y)
 - 17. The five-country project on mercury waste management was implemented from 5 November 2008 until 30 June 2010. The project included four countries Burkina Faso, Cambodia, Pakistan and the Philippines that were financed by the Government of Norway. The participation of Chile was made possible with funds from the Mercury Trust Fund. This project deals with the management of mercury and mercury-containing waste and will contribute to the United Nations Environment Programme (UNEP) priority area on harmful substances and hazardous wastes under its medium-term strategy with the ultimate goal of minimizing the impact of harmful substances and hazardous wastes to the environment and human beings.
- I. Study on the possible effects on human health and the environment of the trade of products containing lead, cadmium and mercury in Latin America and the Caribbean, Asia and the Pacific and Africa (available at http://drustage.unep.org/chemicalsandwaste/sites/unep.org.chemicalsandwaste/files/publications/GAELP PUB UNEPLeadPb-CaicedoCompilation110601.pdf)
 - 18. UNEP has prepared, with financial support from the Nordic Council of Ministers and the assistance of Grupo GEA (Peru) and the International POPs Elimination Network, studies on the analysis of the trade flows and review of environmentally sound management practices related to products containing lead, cadmium and mercury in Latin America and the Caribbean and in Asia and the Pacific. These studies were presented to the UNEP Governing Council/Global Ministerial Environment Forum at its twenty-sixth session, held in Nairobi in February 2011, as documents UNEP/GC.26/INF/11/Add.3 and UNEP/GC.26/INF/11/Add.4, respectively. In 2008, with the financial support of the Government of Sweden, UNEP had conducted a study on the possible effects on human health and the environment in Africa of the trade of products containing lead, cadmium and

mercury, which was noted by the UNEP Governing Council/Global Ministerial Environment Forum at its twenty-fifth session in February 2009.

- J. Overarching Framework: UNEP Global Mercury Partnership, June 2009 (available in all six official United Nations languages at https://wedocs.unep.org/bitstream/handle/20.500.11822/11378/Overarching_Framework.pdf?sequence=1&isAllowed=y)
 - 19. The Overarching Framework guides the work of the UNEP Global Mercury Partnership. It has been developed under the auspices of the Executive Director in consultation with Governments and other stakeholders. The document was forwarded to the Governing Council at its twenty-fifth session where progress made by the Partnership was welcomed and the continued involvement of UNEP in the Partnership was endorsed.
- K. Analysis of formalization approaches in the artisanal and small-scale gold mining sector based on experiences in Ecuador, Mongolia, Peru, Tanzania and Uganda, June 2012 (available in English at https://wedocs.unep.org/bitstream/handle/20.500.11822/11357/Formalization_Document_Final_June_2012.pdf?sequence=1&isAllowed=y)
 - 20. The document is a synthesis for policymakers and other interested stakeholders on the formalization of the artisanal and small-scale gold mining sector, based on the analysis of the case studies developed by international experts for Ecuador, Mongolia, Peru, Tanzania and Uganda and drawing upon examples from other countries. The goal of the document is to highlight critical elements of formalization processes, including institutional considerations, legislation development or reform, and financing. The analysis identifies key strategic lessons and recommendations, which inform the debate, and lessons learned that may be applicable in other countries.
- L. Reducing Mercury Use in Artisanal and Small-scale Gold Mining: A practical guide, June 2012 (available in English, French and Spanish at http://wedocs.unep.org/bitstream/handle/20.500.11822/11524/reducing_mercury_artisanal_gold_mining.pdf?sequence=1&isAllowed=y)
 - 21. The document has been produced with a view to sharing information with policymakers, miners and civil society about available technologies and approaches for reducing, and ultimately eliminating, mercury use in artisanal and small-scale gold mining (ASGM). It is rich in graphics with the aim of being a simple educational and planning tool for improving practices in ASGM. It is also hoped that the public will find parts of this booklet an accessible and informative resource with which to learn more about the often misunderstood ASGM sector.
- M. Economics of Conversion to Mercury-Free Products, October 2011 (available in English at http://wedocs.unep.org/bitstream/handle/20.500. 11822/13871/UNEP_Economics_of_Conversion_to_Mercury-free Report Final 102611 finaldraft wAPP.pdf?sequence=1&isAllowed=v)
 - 22. The report takes into consideration the case studies of two firms involved in the transitioning from mercury-containing to mercury-free products in the medical technology industry. Despite the different supply chain locations of the firms, both were able to produce mercury-free products of the same quality as mercury-containing products. These products included hearing aid batteries, thermometer batteries and most sphygmomanometer applications. However, due to a lack of a legally binding agreement, the study concludes that producers willing to invest in the production of mercury-free devices are also required to continue producing mercury-containing products. This scenario decreases competition for innovation amongst mercury-free suppliers, prevents firms willing to invest in the sector from reaching economies of scale at a faster pace and ignores the fact that sufficient mercury-free capacity exists to meet consumer demand. Legislation and increased market demand would facilitate the shift to mercury-free products.

- N. A guide for managing mercury waste at household and community level, August 2011 (available in English at http://wedocs.unep.org/bitstream/handle/20.500.11822/13760/2011.10.24-Brochure-English.pdf?sequence=1&isAllowed=y)
 - 23. The brochure was developed by the Foreign Economic Cooperation Office of the Chinese Ministry of Environmental Protection for UNEP, supported by the Government of Norway through UNEP. As a large mercury producer and consumer, the Chinese Government has carried forth national and international efforts to reduce mercury pollution. The development of the awareness-raising toolkit was part of a project that included pilot dissemination, assessment and project summary evaluation. The project concluded that the public has very limited basic knowledge about mercury pollution and is in urgent need of large-scale, targeted and systematic publicity and education.
- O. Process Optimization Guidance (POG) for Reducing Mercury Emissions from Coal Combustion in Power Plants (report and software, available in English, Chinese and Russian at http://www.unep.org/chemicalsandwaste/global-mercury-partnership/coal-combustion/process-optimization-guidance)
 - 24. The POG is a tool that has been developed to help determine appropriate approaches to control mercury emissions in individual coal fired power plants. Though the POG has been developed for coal fired power plants, it is generally also applicable to large industrial coal-fired boilers. It is available in English, Chinese and Russian. The POG summarizes mercury emission reduction practices and technologies including:
 - energy efficiency improvement measures
 - pre-combustion control measures (e.g., coal blending, coal cleaning)
 - optimizing other (single) air pollutants control technologies to maximize mercury removal
 - mercury-specific control technologies
 - multi-pollutant control technologies
 - 25. The POG has been reviewed by experts in the network of the UNEP Coal Combustion Partnership area, including national experts in Russia, China and South Africa, and others through an open review process.
 - 26. The POG has been further developed into user-friendly freeware, the Interactive Process Optimization Guidance (iPOGTM), that calculates Hg emission rates from coal-fired utility plants burning any single coal or coal blend. The software requires the entry of data on coal properties, burning conditions and flue-gas cleaning configuration and conditions. With this information, the iPOGTM calculates Hg emission reductions that can be achieved with different coal pretreatments (washing and blending); with the most common pollution controls, including systems with only particle collection devices and with various combinations of pollution controls (ESP/FGD and SCR/ESP/FGD); and with Hg specific controls such as injection of conventional carbon sorbents, brominated carbon sorbents, and oxidising agents. The iPOGTM also calculates the Hg captured in the bottom and fly ashes, depending on the coal characteristics and combustion conditions (no plant emits 100% of the mercury in coal).
- P. Global Chemicals Outlook: Towards Sound Management of Chemicals. Synthesis Report for Decision Makers, September 2012 (available in the six official United Nations languages at https://sustainabledevelopment.un.org/content/documents/1966Global%20Chemical.pdf)
 - 27. The synthesis report for decision makers describes the main findings and conclusions of the full report "Global Chemicals Outlook: Towards Sound Management of Chemicals." The report was developed by UNEP in collaboration with the World Health Organization. It was also developed in collaboration with the Organization for Economic Cooperation and Development and other member institutions of the Inter-Organization Programme for the Sound Management of Chemicals and reflects the work of the Global Chemicals Outlook Steering Committee, which is composed of representatives of Governments, the private sector, civil society and academia.

- Q1. Promoting the 'phase down' Approach of Dental Amalgam in Developing countries final report, October 2015 (available as a brochure at http://www.who.int/oral_health/publications/2014_dental_mercury_phase_down project brochure 19nov.pdf?ua=1)
 - 28. This report presents the work conducted by UNEP and WHO in collaboration with the World Dental Federation (FDI) and the International Association of Dental Manufacturers to implement a demonstration project for phasing down the use of dental amalgam in Tanzania, which was part of the larger East African Dental Amalgam Phase-down Project (EADAP) also conducted in Kenya, Tanzania and Uganda. It included a study on trade flows of dental amalgam and its alternatives, capacitated dentists on the use of alternatives to amalgam for dental restorations and investigated dental amalgam waste practices. Best management practices for dental amalgam were demonstrated for the 3 countries, and weaknesses in the current safety and management practices were highlighted.
- Q2. Lessons from Countries Phasing Down Dental Amalgam Use, March 2016 (available in English at https://wedocs.unep.org/bitstream/handle/20.500.11822/11624/Dental.Amalgam.10mar2016.pages.WEB.pdf?sequence=1&isAllowed=y)
 - 29. In order to assist countries in the phase-down process, the UNEP Chemicals and Waste Branch commissioned the Mercury Policy Project, a project of the Tides Center, to develop this brochure based on specific steps taken and methods used by countries that have already significantly reduced or eliminated the use of dental amalgam.
- R. The United Nation's Framework Convention on Climate Change and the Minamata Convention on Mercury: A comparison for the coal combustion sector, May 2015 (available in English at https://wedocs.unep.org/bitstream/handle/20.500.11822/11444/ReportFINAL-UNFCCC-Minamata-coalcombustion8June2015.pdf?sequence=1&isAllowed=y)
 - 30. This report compares how the UNFCCC and the Minamata Convention seek to control their respective pollutants and the implications of these approaches for the coal combustion sector. The aims and modalities of the two conventions are juxtaposed and mutual benefits of the actions under the two conventions are explored, as are areas of potential bridge building. The report pays special attention to the need for technological advancements to reduce greenhouse gas and mercury emissions from coal combustion sector in light of a growing global demand for coal, especially in developing economies.
- S. Practical Sourcebook on Mercury Waste Storage and Disposal, UNEP, September 2015 (available in English at https://wedocs.unep.org/bitstream/handle/20.500.11822/9839/-Practical_Sourcebook_on_Mercury_Waste_Storage_and_Disposal-2015Sourcebook_Mercruy_FINAL_web.pdf.pdf?sequence=3&isAllowed=y)
 - 31. The 'Practical Sourcebook on Mercury Waste Storage and Disposal' is an informative tool that aims to enhance capacities of governments and other relevant stakeholders, in particular from developing countries and countries with economies in transition, to store and dispose mercury wastes in an environmentally sound manner. The Sourcebook is a practical reference document, rather than a detailed technical guidance, illustrating the various options and technologies available on mercury waste management for decision-makers. It is based on experiences and lessons learnt and contains decision trees, flow charts, photos and a number of case studies. These will help the reader to make informed decisions against the background of their particular domestic circumstances